1/27/2025 | 7:00 PM

Municipal Services Center, Council Chamber
3600 Tremont Road

If you are joining through Zoom, please click the link below to join the meeting:
https://us06web.zoom.us/j/879 9990 9201
Or Telephone: 1 929 205 6099
Webinar ID:879 9990 9201

1. **Call to Order/Roll Call**

2. **Pledge of Allegiance Led by Vice President Brian Close**

3. **Consent Agenda**

   a. Approve January 13, 2025 City Council Meeting Minutes

   b. Ordinance No. 1-2025 - An ordinance authorizing the City Manager to enter into a three-year contract with Indiana Printing for print publication services

4. **Reports/Presentations**

   a. Columbia Gas Pipeline Project

   City Council welcomes public comment on this item. Pursuant to Council Rules, speakers wishing to address Council concerning the Columbia Gas Pipeline Project must complete a speaker's slip and will be allowed three minutes each.

   b. Finance Director Report - Fourth Quarter 2024

5. **Legislative Items for Second Reading/Public Hearing/Council Action**

   a. Ordinance No. 2-2025 - An ordinance authorizing the issuance of not to exceed $20,000,000 of general obligation limited tax bonds, or notes in anticipation of bonds, in one or more series, for the purpose of acquiring, constructing, installing, and equipping capital projects and public infrastructure with related equipment, acquiring land and interests in land, and all necessary appurtenances thereto; and authorizing and approving related matters *(Munc)*

6. **Legislative Items for Second Reading/Public Hearing**

   a. Ordinance No. 3-2025 - An ordinance amending Chapter 171 - Mayor's Court of the Upper Arlington Code of Ordinances

7. **Liquor Control**

a. Transfer of LLC membership interest for Store Ops 2140 LLC & Patio, dba Littleton's Market *(no action needed from Council unless there is an objection)*

b. Transfer of existing liquor permits from Michael R. Purdam, dba Old Bag of Nails Pub to Chefo Arlington LLC, dba Chef O Nette *(no action needed from Council unless there is an objection)*

8. City Manager Update

9. Council Liaison Report

10. Adjournment

January 13, 2025

City Council met in regular session in the Council Chamber of the Municipal Services Center, 3600 Tremont Road, and was called to order by President Awakessien Jeter at 7:00 p.m.

Members Present: President Ukeme Awakessien Jeter, Vice President Brian Close, Kathy Adams, John Kulewicz, Jim Lynch, Heidi Munc, and Todd Walter

Staff Present: City Attorney Darren Shulman, City Manager Steven Schoeny, Assistant City Manager Jackie Thiel, City Clerk Krystal Gonchar, IT Director Jeff Kasson, Finance Director Brent Lewis, Assistant Fire Chief Mike Bell, Public Service Director Gary Wilfong, City Engineer Aaron Scott, Parks & Recreation Director Debbie McLaughlin, Police Chief Keith Hall, Community Affairs Director Emma Speight, Community Development Director Chad Gibson, Human Resources Director Don Essex, and Public Works Manager Tony Morris.

Pledge of Allegiance

The Pledge of Allegiance was led by Council Member Jim Lynch.

Consent Agenda

a. **Approve City Council Meeting Minutes for December 16, 2024**

Mr. Lynch moved, seconded by Mr. Kulewicz, to approve the Consent Agenda. The motion carried with the following vote:

Voting Aye: Adams, Close, Kulewicz, Lynch, Munc, Walter, and President Awakessien Jeter

Reports & Presentations

a. **Presentation of Auditor of State Award, Presented by Scott Brown, Regional Liaison for the Ohio Auditor of State**

Scott Brown, Regional Liaison for the Ohio Auditor of State, presented the City of Upper Arlington with the Auditor of State Award with Distinction. Mr. Brown highlighted that less than four-percent of audited entities are eligible for this award. The criteria for the award include filing clean financial reports on time, preparing a comprehensive annual financial report, and having no findings for recovery or material weaknesses.

Mr. Brown specifically recognized Upper Arlington's Finance Director Brent Lewis for his leadership and commitment to fiscal integrity. Council Members and the City Manager congratulated Mr. Lewis and his team for their work on the city's finances.

b. Public Works, Fleet, and Electrical Operations Update

The Public Service Director Gary Wilfong presented an update on the Public Service divisions of Public Works, Fleet, and Electrical (attached as Exhibit A). Mr. Wilfong described the following divisions:

- Electrical Section: It is comprised of five employees, this team ensures the maintenance of all City electric facilities, street lights, traffic signals, and all municipal building electrical systems. The City has nearly 2,000 street lights, with ongoing efforts toward LED lighting upgrades. Additionally, the City is responsible for the maintenance of 53 traffic signals and other electric traffic devices, such as school zone flashers and speed radar signs. There is an employee on-call 24/7 in case of emergencies.
- Public Works: Responsible for various critical infrastructure tasks, including street maintenance, pothole patching, street sweeping, sign-making, and sanitary sewer maintenance. The department conducts annual cleaning and televising of 10% of the sanitary sewer system, utilizing AI technology for video analysis. The City maintains the fire hydrant system with routine upkeep, with each hydrant flushed by the fire department every spring.
- Snow and Ice Removal: The operations within this category aim for clear roads 24 hours after the last snowfall. The schools are responsible for their property.
- Leaf Collection: This is completed in a two-month period, it has been enhanced by new equipment that was recently approved in the budget. There are six districts and work is done six days a week.
- Fleet Section: There are five mechanics and a supervisor. They maintain a total of 138 vehicles and 300 equipment pieces, ensuring functionality across City-managed transportation and utility operations. They also handle purchasing, intake and disposal of all vehicles.

In response to Mr. Kulewicz's question about how traffic signal timing is determined, Mr. Wilfong responded that timing depends on volume. The City does receive complaints about the length of signals but they have to consider traffic counts prior to adjusting the timing of signals. When asked about the number of speed limit radar signs, Mr. Wilfong estimated there are 16 throughout the City.

Ms. Munc praised the department's quick snow removal and inquired about leaf collection delays. Mr. Wilfong explained that leaf collection stops in early December to allow for preparations for snow removal.

Ms. Adams asked about sustainability initiatives and Mr. Wilfong highlighted department efforts such as replacing gas-powered vehicles with hybrids, using solar power at the Bob Crane Community Center, and considering smart lighting despite its complexity. Solar power is also used for crosswalks. Mr. Wilfong noted that leaf collection misunderstandings are a common complaint, and an educational video is being released.

President Awakessien Jeter inquired about the work order system. Mr. Wilfong shared that police and fire fleets are prioritized, with review and prioritization typically done within a day or two. They are fortunate to have five mechanics that can work on them. While some work orders are resolved quickly, others take longer. The click2fix system was underutilized, but updates have been shared with users and they anticipate that will help.

Mr. Wilfong identified future focus areas, such as hydrants and parts for them, the sanitary sewer lining, CMOM updates and processes, alternative fleet vehicles, and the continuation of the food waste program. The City Manager added the need for a fueling station update and continuous extensive staff training, especially with new technologies.

Legislative Items for First Reading/Public Hearing/Council Action

a. **Resolution No. 1-2025 - A resolution supporting the Ohio Commission for the United States Semiquincentennial (AMERICA250-OH)**

In response to President Awakessien Jeter's invitation to speak, there were no questions or comments from the public relative to Resolution No. 1-2025.

The Community Affairs Director and Kristin Greenberg from the Upper Arlington Historical Society delivered a briefing regarding the City's participation strategies for the America250 celebration (attached as Exhibit B). Their presentation included a review of the City's past anniversary observances and outlined proposed projects and collaborative initiatives for the milestone event. They are working to participate in the large United States of America's 250th anniversary which culminates on July 4, 2026. Upper Arlington will be able to easily tailor some events around the 4th of July celebrations. Additionally, there will be monthly themes throughout the year to participate in. Upper Arlington will form a Committee of community stakeholders to work on the plans, projects and activities.

Council Members inquired about the potential financial implications, opportunities for collaboration with neighboring communities, and the engagement of various organizations within the city. Ms. Speight discussed matching grant opportunities for a historical marker. There could be additional costs in the future, but the proposed events would be included in broader community-wide events which are typically covered by other funding streams.

Mr. Kulewicz moved, seconded by Mr. Lynch, to pass Resolution No. 1-2025. The motion carried with the following vote:

Voting Aye: Adams, Close, Kulewicz, Lynch, Munc, Walter, and President Awakessien Jeter

b. **Resolution No. 2-2025 - A resolution supporting Ohio Municipal League's challenge of AT&T's Tariff Application at the Public Utilities Commission of Ohio**

President Awakessien Jeter recused herself from deliberations and left the Council Chamber at 7:50 p.m.

The City Attorney reported that AT&T submitted a tariff application aiming to impose financial responsibility on cities for utility line relocation costs within public rights-of-way. The Ohio Municipal League is soliciting support from municipalities through resolutions endorsing their legal challenge against this application. Mr. Shulman advised that potential requests for fiscal contributions from these municipalities might arise. Mr. Wilfong elaborated on the possible financial consequences of this proposed tariff change by referencing data from recent infrastructure projects. Mr. Schoeny informed Council Members that other cities in the region were including this item on their council agendas this week, as the information just recently came to light at the end of last week. More information will be forthcoming.

In response to Vice President Close's invitation to speak, there were no questions or comments from the public relative to Resolution No. 2-2025.

Mr. Lynch moved, seconded by Ms. Adams, to pass Resolution No. 2-2025. The motion carried with the following vote:

Voting Aye: Adams, Close, Kulewicz, Lynch, Munc, and Walter
Abstain: President Awakessien Jeter

Following the vote, President Awakessien Jeter returned to the Council Chamber at 7:55 p.m. and was present for all further business.

Legislative Items for First Reading/Public Hearing

a. **Ordinance No. 1-2025 - An ordinance authorizing the City Manager to enter into a three-year contract with Indiana Printing for print publication services**

In response to President Awakessien Jeter's invitation to speak, there were no questions or comments from the public relative to Ordinance No. 1-2025.

Ms. Speight provided an overview of the significance of printed publications for the City and highlighted the collaborative efforts with schools and libraries, which are very cost-effective. Council Members inquired about the metrics for readership, the processes involved in bidding, and the continued relevance of printed materials amidst the digital age. Ms. Speight affirmed the value and cost of selecting this vendor. There was one company from Ohio but their costs were significantly higher.

President Awakessien Jeter advised that the Second Reading/Public Hearing/Council Action will occur on January 27, 2025.

b. **Ordinance No. 2-2025 - An ordinance authorizing the issuance of not to exceed $20,000,000 of general obligation limited tax bonds, or notes in anticipation of bonds, in one or more series, for the purpose of acquiring, constructing,**

installing, and equipping capital projects and public infrastructure with related equipment, acquiring land and interests in land, and all necessary appurtenances thereto; and authorizing and approving related matters

In response to President Awakessien Jeter's invitation to speak, there were no questions or comments from the public relative to Ordinance No. 2-2025.

The Finance Director explained that this is a standard bond ordinance for funding a portion of the Capital Improvement Plan (CIP). The ordinance details the issuance of not to exceed $20,000,000 of general obligation limited tax bonds or notes in anticipation of bonds. The City's municipal advisor and bond counsel representatives, Mr. Brossart and Mr. Finley, provided an update on current market conditions, noting that the 10-year treasury was in the 4.70 to 4.80 range. This reflects a significant movement in bond market interest rates that have trended upward due to uncertainties associated with the recent inauguration of a new administration. However, the advisor highlighted that the City's triple-A credit rating would be a significant advantage in garnering attention in the marketplace and in securing favorable interest rates for the bonds. Council Members were informed of the flexible terms in the ordinance to adapt to market conditions at the time of sale, anticipated toward the end of February. The importance of aligning bond term lengths with the expected useful lives of the funded projects was discussed, as well as cash flow implications. Additional information was provided on statutory and 10-mil debt limits, where it was clarified that the City had substantial capacity under both limits. The ordinance is part of a larger strategic financial approach to support the City's ongoing infrastructure development and maintenance needs.

President Awakessien Jeter advised that the Second Reading/Public Hearing/Council Action will occur on January 27, 2025.

c. **Ordinance No. 3-2025 - An ordinance amending Chapter 171 - Mayor's Court of the Upper Arlington Code of Ordinances**

In response to President Awakessien Jeter's invitation to speak, there were no questions or comments from the public relative to Ordinance No. 3-2025.

The City Attorney presented a summary, focusing on operational updates that better align with current practices and booking requirements. Key proposed changes include:

- Removal of Magistrate Compensation from Code: Council had previously expressed concern about the inclusion of magistrate salary in the code. Compensation details will be handled through the City's regular contracting and procurement process, outside of the ordinance framework.
- Updating Language to Reflect Current Court Operations: The ordinance aims to modernize the language to accurately represent Mayor's Court operations. The outdated provision where the Mayor and Vice Mayor were noted as holding court has been revised. Now, the Mayor appoints magistrates, with language allowing the Clerk of Courts to request the Mayor or Vice Mayor to cover if no magistrates are available. This change reflects actual practice of retired judges filling the magistrate roles.
- Revising Court Fees and Bond Schedule: The review has led to adjustments, including the elimination of fees like those for trial requests, ensuring fairness in accessing

justice. Costs, such as the jury demand fee are retained to cover actual incurred expenses when cases transfer downtown, ensuring the City doesn't absorb unnecessary financial burdens.

- Increasing Technology Fund Fees: Following state amendments, the ordinance proposes raising technology funds to a total of $15 per dismissal—not the $20 maximum allowed—to keep costs manageable and avoid awkward fee amounts.
- Adjusting Bond Amounts for Low-Level Offenses: Potential legislative changes affecting how the Mayor's Court can enforce appearance requirements necessitate reliance on warrants. Accordingly, bond fees have been adjusted to make processes affordable and efficient, thereby reducing prolonged jail time.

Mr. Shulman elaborated on future technology implementations, like text reminders for court dates and a transition to e-ticketing, which would streamline the administrative workload through a focus on digital efficiency.

Council Members asked about the court's updated processes, the involvement of the Mayor, and the steps for contracting public defenders. Mr. Shulman clarified that while the public defender system isn't featured in the code, the City has contracts with four, that come to ensure legal representation is available for all necessary cases. The ordinance is designed to evolve with emerging court efficiencies and requirements.

President Awakessien Jeter advised that the Second Reading/Public Hearing/Council Action will occur on January 27, 2025, followed by a Third Reading/Public Hearing/Council Action on February 10, 2025.

City Manager Update

Mr. Schoeny reminded everyone there is no meeting next week. The next meeting will be January 27, followed by the annual Council retreat on February 3. Mr. Schoeny and Council Members wished the Assistant City Manager a happy birthday.

* * *

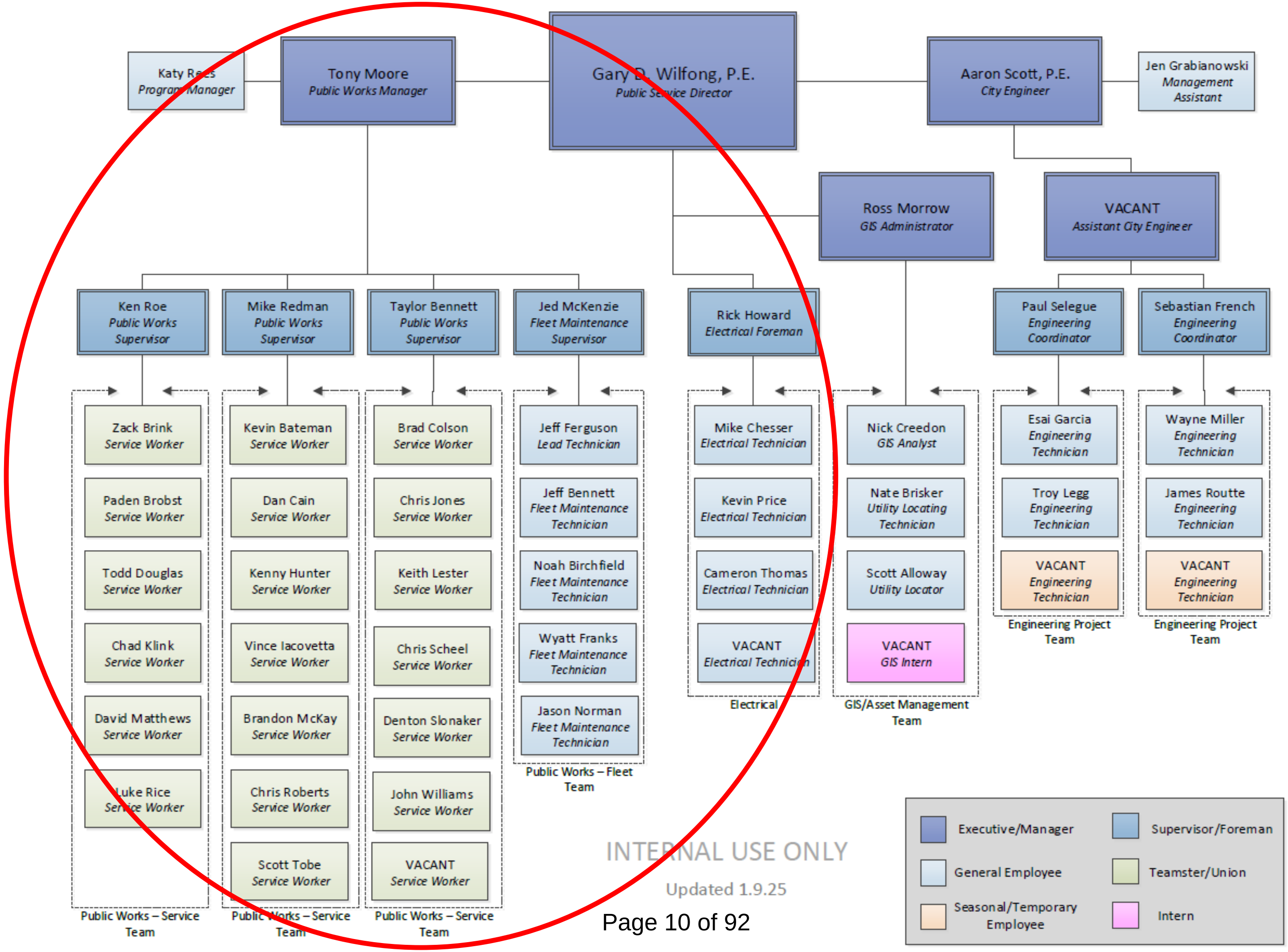
There being no further business to come before City Council, President Awakessien Jeter called for a motion to adjourn the meeting. Vice President Close moved, seconded by Mr. Lynch, to adjourn. The motion carried unanimously and the meeting adjourned at 8:20 p.m.

Exhibit A

Public Service Department

Presented by:
Gary D. Wilfong, PE
Public Service Director

City of Upper Arlington



Katy Rees
Program Manager
Tony Moore
Public Works Manager
Gary D. Wilfong, P.E.
Public Service Director
Aaron Scott, P.E.
City Engineer
Jen Grabianowski
Management Assistant
Ross Morrow
GIS Administrator
VACANT
Assistant City Engineer
Ken Roe
Public Works Supervisor
Mike Redman
Public Works Supervisor
Taylor Bennett
Public Works Supervisor
Jed McKenzie
Fleet Maintenance Supervisor
Rick Howard
Electrical Foreman
Paul Selegue
Engineering Coordinator
Sebastian French
Engineering Coordinator
Zack Brink
Service Worker
Paden Brobst
Service Worker
Todd Douglas
Service Worker
Chad Klink
Service Worker
David Matthews
Service Worker
Luke Rice
Service Worker
Public Works – Service Team
Kevin Bateman
Service Worker
Dan Cain
Service Worker
Kenny Hunter
Service Worker
Vince Iacovetta
Service Worker
Brandon McKay
Service Worker
Chris Roberts
Service Worker
Scott Tobe
Service Worker
Public Works – Service Team
Brad Colson
Service Worker
Chris Jones
Service Worker
Keith Lester
Service Worker
Chris Scheel
Service Worker
Denton Slonaker
Service Worker
John Williams
Service Worker
VACANT
Service Worker
Public Works – Service Team
Jeff Ferguson
Lead Technician
Jeff Bennett
Fleet Maintenance Technician
Noah Birchfield
Fleet Maintenance Technician
Wyatt Franks
Fleet Maintenance Technician
Jason Norman
Fleet Maintenance Technician
Public Works – Fleet Team
Mike Chesser
Electrical Technician
Kevin Price
Electrical Technician
Cameron Thomas
Electrical Technician
VACANT
Electrical Technician
Electrical
Nick Creedon
GIS Analyst
Nate Brisker
Utility Locating Technician
Scott Alloway
Utility Locator
VACANT
GIS Intern
GIS/Asset Management Team
Esai Garcia
Engineering Technician
Troy Legg
Engineering Technician
VACANT
Engineering Technician
Engineering Project Team
Wayne Miller
Engineering Technician
James Routte
Engineering Technician
VACANT
Engineering Technician
Engineering Project Team
INTERNAL USE ONLY
Updated 1.9.25
Executive/Manager
Supervisor/Foreman
General Employee
Teamster/Union
Seasonal/Temporary Employee
Intern

ELECTRIC DIVISION RESPONSIBILITES

City of Upper Arlington

ELECTRIC OVERVIEW

- Street Light Maintenance
- Traffic Signal Maintenance
- Traffic Counter Installs/Removals
- Speed Radar Signs
- RRFB Maintenance
- Facility Electric Maintenance

STREET LIGHTS

- Approximately 1,950 lights
- Perform routine maintenance, cleaning, painting
- LED upgrades

SIGNALS

- 53 Traffic Signals
- 16 School Zone flashers
- 16 RRFB’s
- 2 Hazardous curve flashers
- Install speed radar signs

FACILITY ELECTRIC

- Electrical installations and maintenance at 35 locations
  - Diagnostics of electric apparatus, motors, pumps, controls and lighting
- Maintain and update interior and exterior lights

PUBLIC WORKS DIVISION RESPONSIBILITES

City of Upper Arlington

PUBLIC WORKS OVERVIEW

- Street Maintenance
- Utility Maintenance
  - Sanitary
  - Storm
  - Water
- Street Signs
- Snow & Ice
- Leaf Collection
- Fleet Maintenance

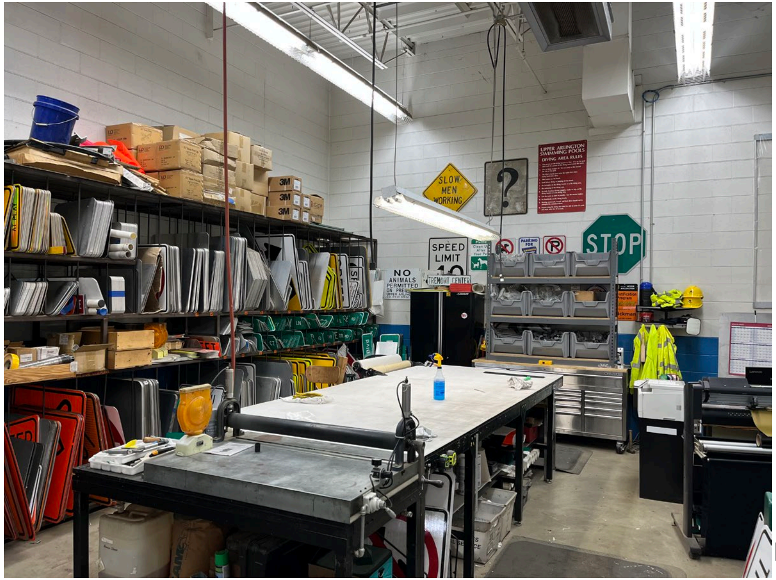
STREET MAINTENANCE

- Pothole Repairs & Minor Maintenance Efforts
- Crack Sealing
- Minor repairs on curbs, gutters & sidewalk ramps
- Street Sweeping

- 2024 DATA
  - Over 1,200 potholes patched
  - 34,500 sq. ft. asphalt maintenance
  - 558 tons asphalt

Sign Maintenance

- Installation, replacement & maintenance of street & regulatory signs



- 7,293 signs in UA
  - 1,912 street name signs
  - 3,865 regulatory signs
  - 1,516 other – warning, school, other misc.

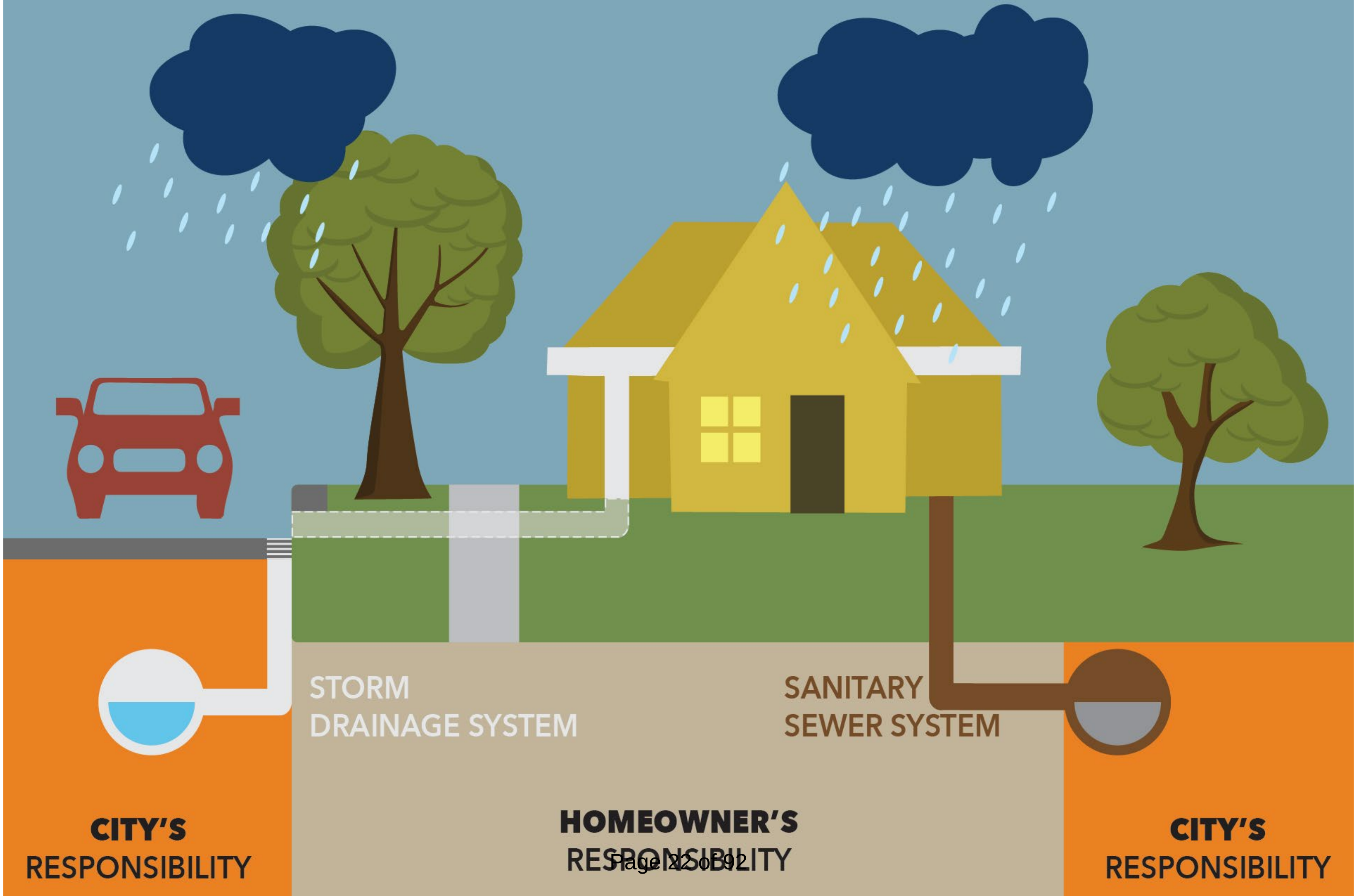
Sign Maintenance

SANITARY SEWER MAINTENANCE

- CCTV Inspections & Cleaning
- Minor Line Repairs
- Sanitary
  - 4,018 manholes
- Storm
  - 1,544 catch basins
  - 3,484 curb inlets
  - 1,689 manholes
- Root Control Efforts (Sanitary)

2023 Sanitary Cleaning & Inspection Data:

- Public Works
  - 80,000 linear feet
- Contractor
  - 70,000 liner feet


STORM
DRAINAGE SYSTEM
SANITARY
SEWER SYSTEM
CITY'S
RESPONSIBILITY
HOMEOWNER'S
RESPONSIBILITY
CITY'S
RESPONSIBILITY


AQUATECH

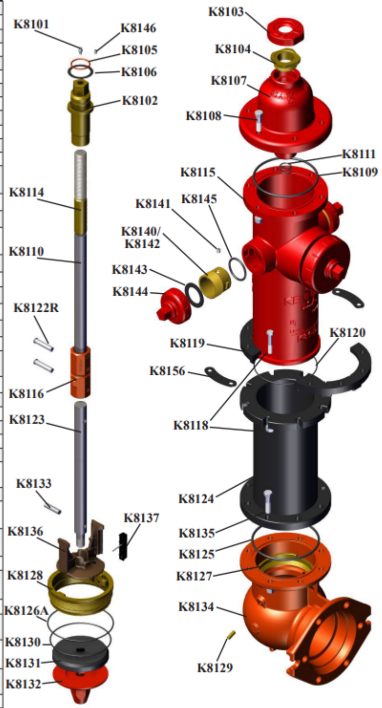
Fire Hydrant Maintenance

- Fire Department flushes each hydrant every year in the spring.

2024 DATA:

- Repaired 189 hydrants

CALLOUT	DESCRIPTION	MATERIAL ASTM
K8101	ALEMITE FITTING	STAINLESS STEEL, ASTM A276 (304)
K8102	OPERATING STEM NUT	BRONZE, ASTM B584 C87850
K8103	DIRT SHIELD	CAST IRON, ASTM A126, CLASS B
K8104	STEM LOCK NUT	BRONZE, ASTM B584 C87850
K8105	STEM LOCK NUT O-RING	VITON, ASTM D2000
K8106	THRUST WASHER	NYLATRON, GS MIL LP-410
K8107	HYDRANT CAP/BONNET	CAST IRON, ASTM A126, CLASS B
K8108	CAP BOLTS & NUTS	F593C - F594
K8109	HYDRANT CAP O-RING	BUNA N, ASTM D2000
K8110	STEM FERRULE	BRASS, ASTM B135 C26000
K8111	HYDRANT CAP O-RING	BUNA N, ASTM D2000
K8114	UPPER STEM	COLD ROLLED STEEL, ASTM A108 C1018
K8115	UPPER BARREL/NOZZLE SECTION	CAST IRON, ASTM A126 CLASS B
K8116	STEM BREAK COUPLING	CAST IRON, ASTM A126, CLASS B
K8118	NUTS AND BOLTS	F593C - F594
K8119	BREAKING RING/TRAFFIC FLANGE	CAST IRON, ASTM A126, CLASS B
K8120	O-RING	BUNA N, ASTM D2000
K8122R	COUPLING PIN	STAINLESS STEEL, 410 HQ
K8123	LOWER STEM	COLD ROLLED STEEL, ASTM A108 C1018
K8124	LOWER BARREL	DUCTILE IRON, ANSI/AWWA C151/A21.51
K8125	ELBOW O-RING	BUNA N, ASTM D2000
K8126A	SEAT RING UPPER O-RING	BUNA N, ASTM D2000
K8127	SEAT RING INSERT/ RETAINING RING	BRONZE, ASTM B584 C87850
K8128	SEAT RING	BRONZE, ASTM B584 C87850
K8129	DRAIN TUBE	BRONZE, ASTM B135 C33000
K8130	SEAT RING LOWER O-RING	BUNA N, ASTM D2000
K8131	MAIN VALVE	EDPM w/ STEEL INSERT, ASTM D2000
K8132	BOTTOM PLATE	CAST IRON, ASTM A126, CLASS B
K8133	DRAIN VALVE PIN	STAINLESS STEEL, 410/416
K8134	ELBOW	DUCTILE IRON, ASTM A536 GRADE 70-50-05
K8135	ELBOW NUTS AND BOLTS	18-8 STAINLESS STEEL, F593C/F594
K8136	DRAIN VALVE	ALUM. BRONZE, ASTM B806 C95400/ C95500
K8137	DRAIN VALVE FACING w/ INSERT	BUNA N, STAINLESS STEEL, ASTM D2000, ASTM A276 (304)
K8140	HOSE NOZZLE	BRONZE, ASTM B806 C91360
K8141	HOSE/PUMPER NOZZLE RETAINING SCREW	STAINLESS STEEL, ASTM A276 (304)
K8142	PUMPER NOZZLE	BRONZE, ASTM B584 C87850
K8143	HOSE/PUMPER NOZZLE CAP GASKET	NEOPRENE, ASTM D2000
K8144	HOSE/PUMPER NOZZLE CAP	CAST IRON, ASTM A126, CLASS B
K8145	HOSE/PUMPER NOZZLE O-RING	BUNA N, ASTM D2000
K8146	ALLEN HEAD SET SCREW	STAINLESS STEEL, ASTM A276 (410)
K8156	BREAKING RING STRAPS	E-COATED MILD STEEL



Snow & Ice Operations

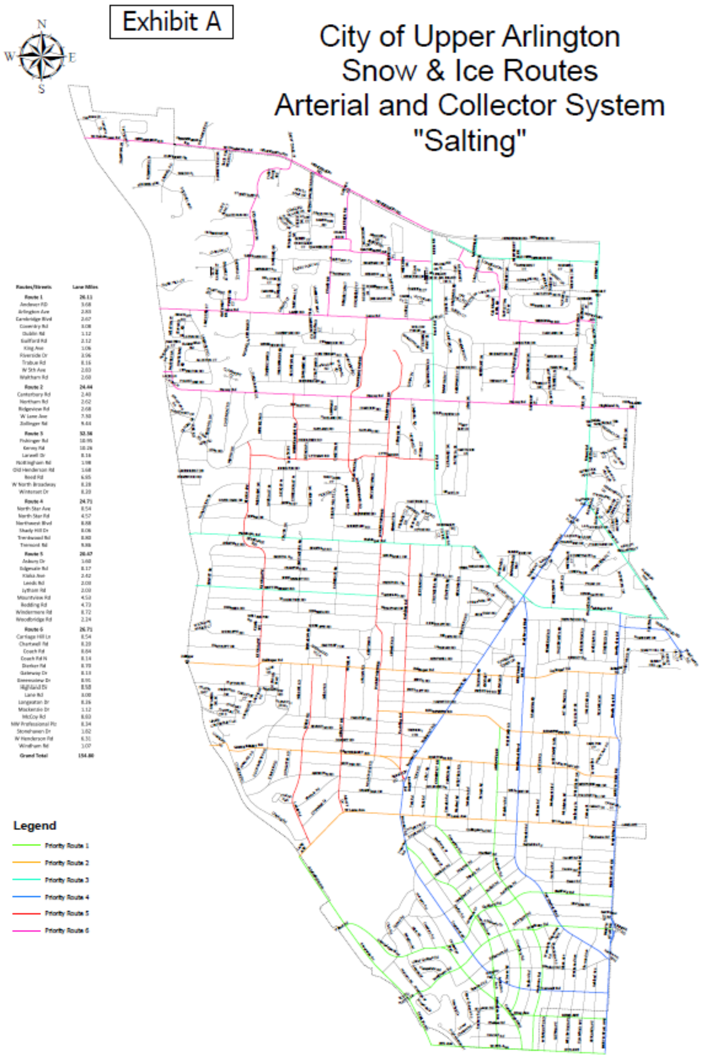
- Public Works
  - All public roadways
- Parks Department
  - City owned parking lots
  - City owned pedestrian paths
- UA Schools
  - School parking lots & sidewalks

Snow & Ice Operations

- BEFORE STORM: Pre-treat
  - Brine / Beet Mixture
- DURING STORM: Salt / Plow
- AFTER STORM:
  - Clean-Up efforts, i.e. salt spills, blocked storm drains, property damage

Snow & Ice Priorities

- FIRST: Arterials & Collectors
    - 7 routes, 7 drivers
- SECOND: Residential
- Goal: To achieve bare pavement within 24 hours of LAST SNOWFALL



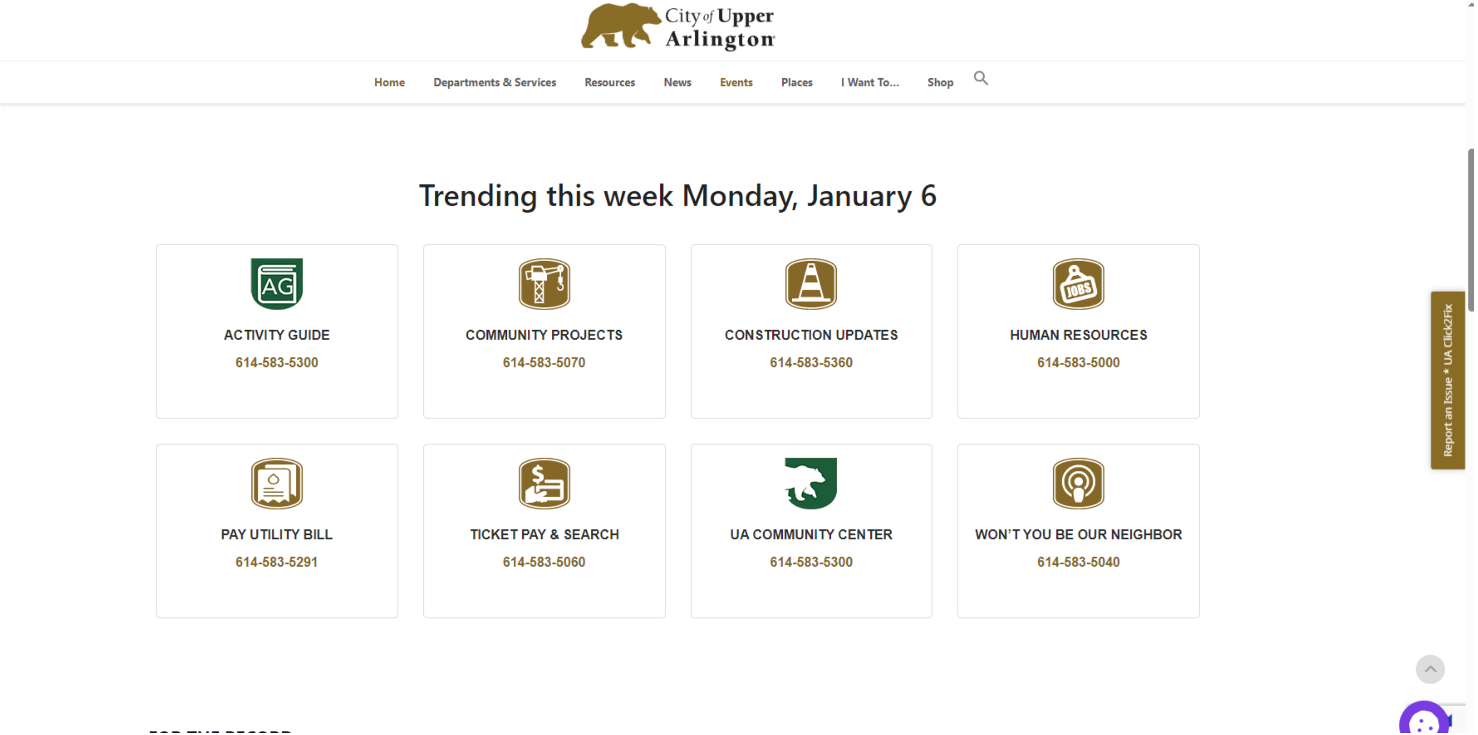
Leaf Collection Operation

- Mid-October thru early December each year
- All PW staff dedicated 10 hrs/day / 6 days/week
- Crews are assigned to a district – Run each district until complete – then we start over!

Fleet Maintenance Operation

- Maintain 138 vehicles and over 300 pieces of equipment
- Completed 1,034 work orders in 2024
- Assist Departments with purchase, intake, and disposal of vehicles

UA CLICK2FIX



Thank you

Exhibit B

AMERICA 250-OHIO

https://america250-ohio.org/

The America 250-Ohio Commission is charged with leading Ohio’s celebration of the 250th anniversary of the United States in 2026.

The Ohio Commission for the U.S. Semiquincentennial (America 250-Ohio) was announced March 1, 2022, on Ohio’s Statehood Day by Governor Mike DeWine.

The 29-member commission is charged with preparing the state to participate in the United States of America’s 250th anniversary which culminates on July 4, 2026.

PROGRAMS & OPPORTUNITIES

July 2026
– Food, Fun & Freedom

Ohio's Homecoming & Picnic

CLICK HERE FOR MORE

Explore Ohio's History with the
4th Grade History Pass.

4th Grade History Pass

LEARN MORE

An unprecedented all-Ohio
movie series coming in 2026

Ohio Goes to the Movies

CLICK HERE FOR MORE

2026 CELEBRATION THEMES

January 2026

Ohio's Firsts & Originals

February 2026

Ohio Works: Innovation, Business & Work

March 2026

Ohio Entertains: Music, Entertainment & Movies

April 2026

Ohio Moves: Transportation

May 2026

Ohio Creates: Arts, Culture, & Literature

June 2026

Ohio Outdoors: Exploring Ohio's Natural Resources

July 2026

Ohio Gathers: Statewide Homecoming & Picnics

August 2026

Ohio Goes To The Fair - The Ohio State Fair & County Fairs

September 2026

Ohio Plays - Sports & Recreation

October 2026

Ohio Food & Farms

November 2026

Ohio Serves: Remembering Veterans, First Responders & Front-Line Heroes

December 2026

Future Ohio: Projecting Ohio In 2076

BECOMING AN AMERICA 250-OHIO COMMUNITY

Upper Arlington
America 250-Ohio Committee

- Emma Speight & Debbie McLaughlin, City of Upper Arlington
- Kristin Greenberg & Michele Hoyle, Upper Arlington Historical Society
- Jen Faure, Upper Arlington Library
- Colleen Wright, Upper Arlington Schools
- Jessica Grisez, Upper Arlington Community Foundation
- Megan Potts, Upper Arlington Education Foundation
- Cara & Jeremy Logsdon, Upper Arlington Civic Association (2026 Fourth of July General Chairs)

SOME UA PROGRAM IDEAS

- **PROMOTE STATEWIDE PROGRAMS YEAR-ROUND TO UA COMMUNITY**
- **UA HISTORY HIGHLIGHTED:** UAHS Football turns 100; The Historical Society turns 50; UA Famous Names & Faces throughout the years; History of our Fourth of July celebrations
- **OHIO GOES TO THE MOVIES:** Include a movie featuring Beverly D'Angelo as part of UA Parks & Rec Movies in the Parks summer series
- **EQUAL UA PORCH PARTIES:** Adapt in 2026 to be timed around Fourth of July Celebrations
- **FOURTH OF JULY:** Incorporate America 250-Ohio theme for Parade and Fireworks
- **HISTORY TRAIL SIGN & MARKER:** Walcutt Legg Cemetery by Wellington School (buried at the cemetery is Elijah Legg, a Revolutionary War Soldier who served in a Prince William County militia in Virginia before moving to Perry Township [now Upper Arlington] between 1820-1830)

QUESTIONS?

https://america250-ohio.org/

Authors: Emma Speight, Community Affairs Director

Council Meeting Date: January 27, 2025

Subject/Legislative Item: Ordinance No. 1-2025 - An ordinance authorizing the City Manager to enter into a three-year contract with Indiana Printing for print publication services

Purpose: To secure a stable and cost-effective approach for producing the City's annual print publications – ***UA Insight*** Resident Newsletter, ***Popular Annual Financial Report***, and the Parks & Recreation ***Activity Guide.***

Executive Summary: The proposed legislation authorizes the City Manager to enter into a three-year contract with Indiana Printing for the production of the City's primary publications – the *UA Insight* resident newsletter; the *Popular Annual Financial Report* (PAFR); and the Parks & Recreation Department's *Activity Guide*. Annual "not to exceed" amounts have been set forth for each publication so that adjustments can be made to page counts and quantities, with year-end totals not to exceed $97,953.77 in 2025; $101,386.19 in 2026; and $104,934.51 in 2027. Staff believes that we have secured exceptional, stable pricing for a product that can be subject to market volatility, and we therefore respectfully request authorization to enter into a three-year contract at a total not to exceed amount of $304,274.47.

Purpose and Impact

Each year, the City Manager's Office and Parks & Recreation Department produce a series of print publications, as part of the City's robust communications and outreach strategy:

1. The *UA Insight* resident newsletter – mailed six times per year to all UA residences (apartment buildings included) – is produced as a shared-cost partnership with the Upper Arlington Schools and Upper Arlington Library, with each entity reimbursing the City for their portion of the publication and postage costs.
2. The *Popular Annual Financial Report (PAFR)* – 500 copies made available at various public locations – provides a reader-friendly overview of the City's financial standing and policies.

3. The *Activity Guide* – mailed three times per year to UA households and recent customers – serves as the primary marketing tool for the Parks & Recreation Department's programs and events.

On September 16, 2024 the city released a solicitation for bids for the 2025-2027 Print Publications. On October 10, 2024 bids were opened for the four bidders that submitted. Indiana Printing was found to be the lowest and best overall. Since the City's print needs can fluctuate in any given year, the proposed contract with Indiana Printing sets forth a "not to exceed" amount for each publication and year, providing flexibility to adjust page counts and quantities, per the following:

	Year 1	**Year 2**	**Year 3**	**Grand Total**
UA Insight	$36,677.20	$37,962.91	$39,291.39	
PAFR	$1,988.00	$2,057.58	$2,129.60	
Activity Guide	$59,289.25	$61,365.70	$63,513.52	
Not to Exceed Fixed Price	$97,953.77	$101,386.19	$104,934.51	**$304,274.47**

The pricing reflected here represents an increase of approximately $20,000 per year from the 2022-2024 contract. This includes expected cost of business and paper cost increases each year, AND 10% contingency planning for the Activity Guide, recognizing that the opening of the Bob Crane Community Center may generate additional programming content.

History

The previous three-year contract for these print services was $241,650.20 provided by Indiana Printing.

Alternatives

While it would be possible to solely produce electronic versions of these publications, their reach and value would be significantly reduced. For the *Activity Guide*, anecdotal evidence indicates that registrations for recreation programs declines in the absence of a physical "coffee table" reminder for residents. Additionally, a portion of the production costs are factored into the pricing levels set for classes or programs to help recoup costs.

For *UA Insight*, the City's out-of-pocket costs are just over one third of the pricing shown here, since the UA Schools and UA Library reimburse us for their pages within the newsletter. Combined, we believe the City's publication portfolio greatly enhances our overall communications and outreach programming – supporting and enhancing the information that reaches our engaged residents.

Attachments

1.	Ordinance No. 1-2025

ORDINANCE NO. 1-2025

TO AUTHORIZE THE CITY MANAGER TO ENTER INTO A THREE-YEAR CONTRACT WITH INDIANA PRINTING FOR PRINT PUBLICATION SERVICES

WHEREAS, each year, the City Manager's Office and Parks & Recreation Department produce a series of print publications, as part of the City's robust communications and outreach strategy; and

WHEREAS, the City released a solicitation for bids for 2025-2027 print publication services on September 16, 2024, four bids were opened on October 10, 2024, and Indiana Printing was found to be the lowest and best overall; and

WHEREAS, Indiana Printing sets forth a "not to exceed" amount for each publication and year, providing flexibility to adjust page counts and quantities for the *UA Insight*, *Activity Guide*, and the *Popular Annual Financial Report (PAFR)*; and

WHEREAS, Staff recommends awarding the three-year contract with Indiana Printing for print publication services, for a total amount not to exceed $304,274.47.

NOW, THEREFORE, BE IT ORDAINED by the Council of the City of Upper Arlington, Ohio:

SECTION 1. The City Manager is hereby authorized to enter into a three-year contract with Indiana Printing for print publication services, for a total amount not to exceed $304,274.47.

SECTION 2. The City Manager, Finance Director, and the City Attorney are hereby authorized to take all actions, including the execution of all documents or amendments necessary to enter into, implement, and administer the agreement, that are not substantially inconsistent with this ordinance.

SECTION 3. This ordinance shall take effect immediately upon passage.

Authors:	Brent Lewis, Finance Department Director
Council Meeting Date:	January 27, 2025
Subject/Legislative Item:	Finance Director Report - Fourth Quarter 2024
Purpose:	To keep the City Council informed about the City's financial status and assist them with the monitoring of the 2024 budget.
Executive Summary:	As required by City Councils' adopted financial accounting and reporting policies, the Finance Director is presenting the 2024 Fourth Quarter Finance Director's Report for Council Review. No legislative action is required.

Purpose and Impact

** Reminder: The accompanying financial report is prepared using the "budgetary method". This means revenues equal amounts actually collected and expenditures equal amounts actually spent plus amounts that have been committed (encumbered) to date.**

General Fund Overview

The City's General Fund ended the fourth quarter of 2024 with a fund balance of $41.96 million, which was $5.3 million better than projections and $7.2 million greater than the January 1st beginning fund balance (a 21% increase from the prior year).

As you are aware, City Council policy requires the City to maintain a contingency reserve of 30% - 50% of the current year's General Fund operating budget. The following table provides a breakdown of the required reserve balances at each end of the range and the amount by which the reserve was exceeded as of December 31, 2024. As mentioned during the 2025 budget process, the excess balance includes reserve amounts for facilities maintenance (required by City policy), the excess amount is expected to be reduced in future years with future budgeted Council priorities, and City management will be working throughout the year to reduce excess balances.

Required Reserve (High/Low)	Required Reserve	Actual Excess
30%	$14,248,950	$27,710,525
50%	23,748,250	18,211,225

There are multiple factors as to why there was such a large increase to the fund balance during the year.

- On the revenue side, the actual amounts came in approximately $2.4 million greater than amended projections and $9.3 million greater than original projections. The most significant variances were associated with better than expected revenues from the following sources: income tax, property taxes (revised estimates were received after budget approval) investment earnings, and returned advances from the Infrastructure Fund (BCCC donations and OSU tenant improvements).
- On the expenditure side, the actual budgetary expenditures in the General Fund were $4.1 million less than the final budgeted amounts. This variance can be further broken down into $2.9 million for payroll and benefits and $1.2 million for non-payroll related items. This under spending can be attributed to many reasons including, employee transitions during the year, delays or changes in purchases/projects, and the City's practice of conservative budgeting.

Income Tax Overview

The income tax revenues in the fourth quarter of 2024 were represented mainly by collections during September 2024 through November 2024 and distributed to the City from October 2024 through December 2024. Income tax receipts grew from $36.2 million in the third quarter to $48.3 million in the fourth quarter. The year-end total finished 4.32% greater than our amended projections and 7.68% greater than the 2023 actual total. Of the three income tax classifications, the employee withholdings was the only one that finished the year above prior year totals (+4.2 million) and amended projections. Both the individual (- $528 thousand) and business net profit (- $193 thousand) classifications finished lower than both prior year actuals and projections.

Though the increase in in withholding taxes outpaced projections, growth in this area was expected based on past history, expected growth in businesses and work locations throughout the City, and the addition of recent developments (Gateway, Ohio Health, etc.). The growth in tax revenues from employee withholdings continues to be a good indicator of how our businesses are doing within our community, and is reflective of the diversity of our tax base and the City's efforts in economic development and retention.

The decrease in individual and business net profit areas of the tax, while not originally projected, is not unusual. Both of these types of tax revenues can be unpredictable due to their nature and are susceptible to timing issues. City staff will continue to monitor these areas going forward.

Income tax receipts are allocated between the General Fund and Capital Asset Management Fund. Through the fourth quarter, the City deposited $34.8 million of income tax receipts into the General Fund and $13.5 million into the Capital Asset Management Fund.

Other Funds and Notes

- The City’s two main Capital Improvement Funds (Bonded Improvement and Infrastructure) ended the year better than anticipated. The City is continuously analyzing its CIP activity throughout each budget year. Multiple factors contributed to the increase in these funds during the year including: better than estimated investment returns, donations, General Fund advances/return of advances, grant reimbursements, and timing of expenditures. The year-end balances of these funds exceeded budgeted projections by more than $3.3 million.
- The City's three major utility funds (water, sewer, storm) all finished 2024 better than expected. City staff closely monitors each of these funds to determine if current rates are still applicable.
- City staff attended several MBE/EDGE/DBE/WBE events throughout the year. Additionally, the City had approximately $4.8 million in MBE/EDGE/DBE/WBE spend in 2024, as compared to $1.9 million the prior year.
- The overall cash position decreased by $39.3 million during the year to $150.8 million. This decrease was expected and is mainly the result of the bond proceeds spent down on the Bob Crane Community Center and CIP projects.
- The first half 2025 semi-annual utility bill is expected be mailed to property owners in late January or early February. The due date will be one month after the issuance of the bill. The first bill will include a one-time holiday from solid waste fees (premium service fees, stormwater, and neighborhood lighting fees (if applicable), will still apply). The following is the reasoning for the holiday: Upper Arlington City Council is excited to provide UA homeowners with a one-time holiday from solid waste fees. Even after increasing spending on roads, sewers, parks and the community center, the City of UA has exceeded its targeted cash reserves. Therefore, the City will not be charging residential solid waste fees for the first half of 2025. This will save each household approximately $150 ($136 for those with a senior discount) at a total cost of $2 million to the City. Fees will still be required for the solid waste premium service option, stormwater utility, and neighborhood lighting utility (if applicable). This is reflected in the adjustment listed on this bill.
- The Franklin County Treasurers Office has mailed out all 1st half 2024 property tax bills to property owners. The due date for this payment with Franklin County is January 31st.
- The 2024 municipal tax filing deadline will be Tuesday, April 15th. All residents of Upper Arlington must file an annual municipal income tax return with our tax agency, the Regional Income Tax Agency (RITA). Certain exemptions to individual filing requirements may apply.

History
N/A

Alternatives
N/A

Attachments

1.	Finance Director Report - Fourth Quarter 2024

Monthly Financial Report
As of December 2024

General Fund (101)

	Original Budget	Amended Budget	Projected To Date	Actual	Dollar Variance	Percentage Variance
Revenues and Other Sources						
Income Tax	$ 32,096,900	$ 33,317,200	$ 33,317,200	$ 34,757,233	$ 1,440,033	4.32%
Real & Personal Property Tax	8,863,000	9,977,100	9,977,100	10,052,817	75,717	0.00%
All Other Operating Revenues	9,238,970	11,394,070	11,394,070	12,417,809	1,023,739	8.98%
Transfers/Advances In	3,235,500	5,885,500	5,885,500	5,459,817	(120,333)	0.00%
Total Revenues and Other Sources	53,434,370	60,573,870	60,573,870	62,687,676	2,419,156	**3.99%**
Obligations:						
Police Division	11,714,300	11,714,300	11,714,300	11,069,439	644,861	5.50%
Fire Division	11,669,300	11,669,300	11,669,300	11,083,881	585,419	5.02%
Board of Health	388,600	388,600	388,600	386,579	2,021	0.00%
Parks and Recreation	5,834,500	5,877,800	5,877,800	5,490,374	387,426	6.59%
Community Development	1,531,000	1,547,000	1,547,000	1,392,081	154,919	10.01%
Public Service Administration	1,260,600	1,365,600	1,365,600	1,289,529	76,071	5.57%
Public Works	1,483,200	1,453,200	1,453,200	1,284,815	168,385	11.59%
City Manager	1,917,600	2,017,600	2,017,600	1,778,025	239,575	11.87%
City Attorney	934,200	1,004,200	1,004,200	771,185	233,015	23.20%
City Clerk	304,000	299,400	299,400	242,310	57,090	19.07%
City Council	150,800	179,300	179,300	169,180	10,120	5.64%
Finance	1,490,600	1,490,600	1,490,600	1,320,621	169,979	11.40%
Facilities Maintenance	3,068,400	3,068,400	3,068,400	2,217,095	851,305	27.74%
Information Technology	1,931,200	1,931,200	1,931,200	1,830,360	100,840	5.22%
General Administration	3,490,000	3,490,000	3,490,000	3,088,049	401,951	11.52%
Transfers/Advances Out	4,174,200	12,618,300	12,618,300	12,617,266	1,034	0.00%
Total Obligations	51,342,500	60,114,800	60,114,800	56,030,789	4,084,011	**6.79%**
Excess of Revenue and Other Sources over Obligations:	2,091,870	459,070	459,070	6,656,887		
Total Beginning Fund Balance	34,761,336	34,761,336	34,761,336	34,761,336		
Prior Year Lapsed Encumbrances	-	-	-	541,252		
Anticipated Appropriation Lapses	1,415,000	1,415,000	1,415,000	-		
Total Ending Fund Balance	**$ 38,268,206**	**$ 36,635,406**	**$ 36,635,406**	**$ 41,959,475**	**$ 5,324,069**	**14.53%**

Capital Asset Management Fund (102)

	Original Budget	Amended Budget	Projected To Date	Actual	Dollar Variance	Percentage Variance
Revenues and Other Sources						
Income Tax	$ 12,482,200	$ 12,956,700	$ 12,956,700	$ 13,514,804	$ 558,104	4.31%
Total Revenues and Other Sources	**12,482,200**	**12,956,700**	**12,956,700**	**13,514,804**	**558,104**	**4.31%**
Obligations						
Transfers/Advances Out	9,776,200	9,776,200	9,776,200	9,472,569	303,631	0.00%
Total Obligations	**9,776,200**	**9,776,200**	**9,776,200**	**9,472,569**	**303,631**	**0.00%**
Excess of Revenue and Other Sources over Obligations:	2,706,000	3,180,500	3,180,500	4,042,235		
Beginning Fund Balance	20,368,117	20,368,117	20,368,117	20,368,117		
Ending Fund Balance	**$ 23,074,117**	**$ 23,548,617**	**$ 23,548,617**	**$ 24,410,352**	**$ 861,735**	**3.66%**

Monthly Financial Report
As of December 2024

Capital Equipment Fund (106)

	Original Budget	Amended Budget	Projected To Date	Actual	Dollar Variance	Percentage Variance
Revenues and Other Sources						
Real & Personal Property Tax	$ 1,127,730	$ 1,279,960	$ 1,279,960	$ 1,280,431	$ 471	0.00%
Homestead & Rollbacks	150,270	170,140	170,140	169,812	(328)	0.00%
All Other Operating Revenues	-	10,000	10,000	10,000	-	0.00%
Transfers In	240,000	240,000	240,000	240,000	-	0.00%
Total Revenues and Other Sources	**1,518,000**	**1,700,100**	**1,700,100**	**1,700,243**	**143**	**0.00%**
Obligations						
Capital Equipment	1,311,900	1,420,500	1,420,500	1,345,962	74,538	5.25%
Total Obligations	**1,311,900**	**1,420,500**	**1,420,500**	**1,345,962**	**74,538**	**5.25%**
Excess of Revenue and Other Sources over Obligations:	206,100	279,600	279,600	354,281		
Beginning Fund Balance	867,861	867,861	867,861	867,861		
Prior Year Lapsed Encumbrances	-	-	-	170		
Ending Fund Balance	**$ 1,073,961**	**$ 1,147,461**	**$ 1,147,461**	**$ 1,222,312**	**$ 74,851**	**6.52%**

Street Maintenance and Repair Fund (207)

	Original Budget	Amended Budget	Projected To Date	Actual	Dollar Variance	Percentage Variance
Revenues and Other Sources						
Gasoline Taxes	$ 1,800,000	$ 1,800,000	$ 1,800,000	$ 1,854,384	$ 54,384	3.02%
Motor Vehicle License Taxes	450,000	450,000	450,000	466,203	16,203	3.60%
All Other Operating Revenues	23,000	23,000	23,000	67,007	44,007	191.33%
Total Revenues and Other Sources	**2,273,000**	**2,273,000**	**2,273,000**	**2,387,594**	**114,594**	**5.04%**
Obligations						
Public Service Administration	883,000	883,000	883,000	775,583	107,417	12.17%
Public Works	1,463,700	1,553,600	1,553,600	1,350,267	203,333	13.09%
Total Obligations	**2,346,700**	**2,436,600**	**2,436,600**	**2,125,850**	**310,750**	**12.75%**
Excess of Revenue and Other Sources over Obligations:	(73,700)	(163,600)	(163,600)	261,744		
Beginning Fund Balance	1,654,072	1,654,072	1,654,072	1,654,072		
Prior Year Lapsed Encumbrances	-	-	-	11,358		
Ending Fund Balance	**$ 1,580,372**	**$ 1,490,472**	**$ 1,490,472**	**$ 1,927,174**	**$ 436,702**	**29.30%**

Bonded Improvement Fund (402)

	Original Budget	Amended Budget	Projected To Date	Actual	Dollar Variance	Percentage Variance
Revenues and Other Sources						
All Other Operating Revenues	$ 600,000	$ 2,600,000	$ 2,600,000	$ 2,898,030	$ 298,030	11.46%
Total Revenues and Other Sources	**600,000**	**2,600,000**	**2,600,000**	**2,898,030**	**298,030**	**11.46%**
Obligations						
Capital Improvements - CIP	13,605,700	13,605,700	13,605,700	13,519,538	86,162	0.63%
Capital Improvements - Community Center/Office	-	500,000	500,000	246,298	253,702	50.74%
Total Obligations	**13,605,700**	**14,105,700**	**14,105,700**	**13,765,836**	**339,864**	**2.41%**
Excess of Revenue and Other Sources over Obligations:	(13,005,700)	(11,505,700)	(11,505,700)	(10,867,806)		
Beginning Fund Balance	18,241,846	18,241,846	18,241,846	18,241,846		
Prior Year Lapsed Encumbrances	-	-	-	135,687		
Ending Fund Balance	**$ 5,236,146**	**$ 6,736,146**	**$ 6,736,146**	**$ 7,509,727**	**$ 773,581**	**11.48%**

Monthly Financial Report
As of December 2024

Infrastructure Improvement Fund (404)

	Original Budget	Amended Budget	Projected To Date	Actual	Dollar Variance	Percentage Variance
Revenues and Other Sources						
All Other Operating Revenues	$ 7,937,000	$ 5,937,000	$ 5,937,000	$ 5,901,554	$ (35,446)	-0.60%
Transfers/Advances In	3,250,000	11,350,000	11,350,000	11,350,000	-	0.00%
Total Revenues and Other Sources	11,187,000	17,287,000	17,287,000	17,251,554	(35,446)	**-0.21%**
Obligations						
Finance	-	1,000	1,000	145	855	85.50%
Capital Improvements - CIP	12,308,400	11,734,400	11,734,400	10,021,227	1,713,173	14.60%
Capital Improvements - Community Center/Office	2,800,000	11,374,000	11,374,000	11,119,330	254,670	2.24%
Transfers/Advances Out	-	2,750,000	2,750,000	2,444,650	305,350	11.10%
Total Obligations	**15,108,400**	**25,859,400**	**25,859,400**	**23,585,352**	**2,274,048**	**8.79%**
Excess of Revenue and Other Sources over Obligations:	(3,921,400)	(8,572,400)	(8,572,400)	(6,333,798)		
Beginning Fund Balance	11,601,775	11,601,775	11,601,775	11,601,775		
Prior Year Lapsed Encumbrances	-	-	-	281,722		
Ending Fund Balance	**$ 7,680,375**	**$ 3,029,375**	**$ 3,029,375**	**$ 5,549,699**	**$ 2,520,324**	**83.20%**

Solid Waste Management Fund (710)

	Original Budget	Amended Budget	Projected To Date	Actual	Dollar Variance	Percentage Variance
Revenues and Other Sources						
Charges for Services	$ 3,800,000	$ 3,800,000	$ 3,800,000	$ 3,916,407	$ 116,407	3.06%
All Other Operating Revenues	151,250	151,250	151,250	143,576	(7,674)	-5.07%
Total Revenues and other sources	**3,951,250**	**3,951,250**	**3,951,250**	**4,059,983**	**108,733**	**2.75%**
Obligations						
Public Works	4,112,200	4,117,200	4,117,200	3,988,088	129,112	3.14%
Total Obligations	**4,112,200**	**4,117,200**	**4,117,200**	**3,988,088**	**129,112**	**3.14%**
Excess of Revenue and Other Sources over Obligations:	(160,950)	(165,950)	(165,950)	71,895		
Beginning Fund Balance	1,449,715	1,449,715	1,449,715	1,449,715		
Lapsed encumbrances	-	-	-	13,025		
Ending Fund Balance	**$ 1,288,765**	**$ 1,283,765**	**$ 1,283,765**	**$ 1,534,635**	**$ 250,870**	**19.54%**

Water Surcharge Fund (720)

	Original Budget	Amended Budget	Projected To Date	Actual	Dollar Variance	Percentage Variance
Revenues and Other Sources						
Charges for Services	$ 792,000	$ 792,000	$ 792,000	$ 923,785	$ 131,785	16.64%
Total Revenues and other sources	**792,000**	**792,000**	**792,000**	**923,785**	**131,785**	**16.64%**
Obligations						
Public Works	442,300	489,400	392,050	332,882	59,168	15.09%
Capital Improvements	452,000	498,000	498,000	497,806	194	0.00%
Transfers Out (including intra-city services)	100,000	100,000	100,000	97,398	2,602	2.60%
Total Obligations	**994,300**	**1,087,400**	**990,050**	**928,086**	**61,964**	**6.26%**
Excess of Revenue and Other Sources over Obligations:	(202,300)	(295,400)	(198,050)	(4,301)		
Beginning Fund Balance	1,771,114	1,771,114	1,771,114	1,771,114	-	
Prior Year Lapsed Encumbrances	-	-	-	12,052		
Ending Fund Balance	**$ 1,568,814**	**$ 1,475,714**	**$ 1,573,064**	**$ 1,778,865**	**$ 205,801**	**13.08%**

Monthly Financial Report
As of December 2024

Sanitary Sewer Surcharge Fund (730)

	Original Budget	Amended Budget	Projected To Date	Actual	Dollar Variance	Percentage Variance
Revenues and Other Sources						
Charges for Services	$ 1,175,000	$ 1,175,000	$ 1,175,000	$ 1,436,940	$ 261,940	22.29%
All Other Operating Revenues	1,500	1,500	1,500	2,450	950	63.33%
Total Revenue	**1,176,500**	**1,176,500**	**1,176,500**	**1,439,390**	**262,890**	**22.35%**
Obligations						
Public Works	934,700	941,900	941,900	875,670	66,230	7.03%
Capital Improvements	630,000	630,000	630,000	407,603	222,397	0.00%
Capital Equipment	347,500	347,500	347,500	324,365	23,135	6.66%
Transfers Out (including intra-city services)	27,600	27,600	27,600	26,548	1,052	3.81%
Total Obligations	**1,939,800**	**1,947,000**	**1,947,000**	**1,634,186**	**312,814**	**16.07%**
Excess of Revenue and Other Sources over Obligations:	(763,300)	(770,500)	(770,500)	(194,796)		
Beginning Fund Balance	3,007,693	3,007,693	3,007,693	3,007,693		
Prior Year Lapsed Encumbrances	-	-	-	18,304		
Ending Fund Balance	**$ 2,244,393**	**$ 2,237,193**	**$ 2,237,193**	**$ 2,831,201**	**$ 594,008**	**26.55%**

Stormwater Management Fund (740)

	Original Budget	Amended Budget	Projected To Date	Actual	Dollar Variance	Percentage Variance
Revenues and Other Sources						
Charges for Services	$ 753,000	$ 753,000	$ 753,000	$ 764,284	$ 11,284	1.50%
Investment Earnings	40,000	40,000	40,000	109,902	69,902	174.76%
Miscellaneous income	-	-	-	7,861	7,861	100.00%
Total Revenues and other sources	**793,000**	**793,000**	**793,000**	**882,047**	**89,047**	**11.23%**
Obligations						
Public Works	719,400	732,800	732,800	576,918	155,882	21.27%
Capital Equipment	287,500	287,500	287,500	267,709	19,791	0.00%
Transfers Out (including intra-city services)	77,700	77,700	77,700	74,394	3,306	4.25%
Total Obligations	**1,084,600**	**1,098,000**	**1,098,000**	**919,021**	**178,979**	**16.30%**
Excess of Revenue and Other Sources over Obligations:	(291,600)	(305,000)	(305,000)	(36,974)		
Beginning Fund Balance	2,565,637	2,565,637	2,565,637	2,565,637		
Prior Year Lapsed Encumbrances	-	-	-	458,896		
Ending Fund Balance	**$ 2,274,037**	**$ 2,260,637**	**$ 2,260,637**	**$ 2,987,559**	**$ 726,922**	**32.16%**

Swimming Pool Fund (750)

	Original Budget	Amended Budget	Projected To Date	Actual	Dollar Variance	Percentage Variance
Revenues and Other Sources						
Charges for Services	$ 1,013,800	$ 1,013,800	$ 1,013,800	$ 996,344	$ (17,456)	-1.72%
Total Revenues and other sources	**1,013,800**	**1,013,800**	**1,013,800**	**996,344**	**(17,456)**	**-1.72%**
Obligations						
Parks and Recreation	1,054,700	1,077,700	1,077,700	961,474	116,226	10.78%
Total Obligations	**1,054,700**	**1,077,700**	**1,077,700**	**961,474**	**116,226**	**10.78%**
Excess of Revenue and Other Sources over Obligations:	(40,900)	(63,900)	(63,900)	34,870		
Beginning Fund Balance	344,087	344,087	344,087	344,087		
Prior Year Lapsed Encumbrances	-	-	-	18,706		
Ending Fund Balance	**$ 303,187**	**$ 280,187**	**$ 280,187**	**$ 397,663**	**$ 117,476**	**41.93%**

Monthly Financial Report
As of December 2024

Statement of Receipts and Disbursements (cash basis rounding)

Fund	Beginning Balance	Year to Date & Transfer In	Year to Date & Transfer Out	Ending Balance	Percentage Change
General	$ 37,085,663	$ 62,687,676	$ 55,902,631	$ 43,870,708	18.3%
Capital Asset Management	20,368,117	13,514,804	9,472,569	24,410,352	19.8%
Police Pension	1,167,500	870,145	537,885	1,499,760	28.5%
Fire Pension	1,167,500	870,145	537,886	1,499,759	28.5%
Self Insurance	1,003,601	123,996	43,297	1,084,300	8.0%
Capital Equipment	2,129,559	1,700,243	2,109,495	1,720,307	-19.2%
Police & Fire Pension	1,736,091	1,476,458	1,789,896	1,422,653	-18.1%
Technology Fund	530,105	221,072	325,334	425,843	-19.7%
Tax Incentive Review Fund	1,270	-	1,270	-	-100.0%
Street Maintenance and Repair Fund	1,795,847	2,387,594	2,217,810	1,965,631	9.5%
EMS Billing Fund	701,619	1,929,981	940,600	1,691,000	141.0%
Law Enforcement	453,163	2,520,817	234,948	2,739,032	504.4%
Tree Planting Fund	49,640	58,325	15,000	92,965	87.3%
Enforcement Education	20,849	570	769	20,650	-1.0%
Mayor's Court Computer	40,010	5,718	-	45,728	14.3%
Mayor's Court Special Project	156,791	19,050	4,336	171,505	9.4%
Local Fiscal Recovery Fund	2,450,228	-	258,166	2,192,062	-10.5%
OneOhio Opioid Fund	53,506	95,999	8,152	141,353	164.2%
Economic Development	1,860,151	250,000	31,370	2,078,781	11.8%
Arlington Centre TIF Fund	142,294	24,924	50,242	116,976	-17.8%
Tremont Road TIF Fund	15,415	41,182	37,837	18,760	21.7%
Lane Avenue Mixed Use TIF Fund	638,001	1,240,616	1,140,262	738,355	15.7%
Lane Avenue TIF Fund	443,876	111,364	250,254	304,986	-31.3%
Arlington Crossing TIF Fund	1,359,099	116,400	406,975	1,068,524	-21.4%
Horizon TIF Fund	1,331,468	480,384	757,940	1,053,912	-20.8%
Kingsdale West TIF Fund	439,920	56,049	100,583	395,386	-10.1%
Kingsdale CORE TIF Fund	823,957	819,424	974,580	668,801	-18.8%
Civil Service	72,800	-	11,840	60,960	-16.3%
Riverside North TIF Fund	18,976	2,798	1,828	19,946	5.1%
Riverside South TIF Fund	281,445	43,407	100,422	224,430	-20.3%
W. Lane Northwest TIF Fund	17,157	17,528	12,143	22,542	31.4%
Lane II TIF Fund	1,188,865	1,290,670	1,213,972	1,265,563	6.5%
Kingsdale Center TIF Fund	756,632	1,048,547	1,775,147	30,032	-96.0%
Gateway TIF Fund	21,561	443,325	425,477	39,409	82.8%
Neighborhood Lighting Utility	282,103	73,325	37,005	318,423	12.9%
Clerk of Courts Fund	20,135	5,718	4,510	21,343	6.0%
UA Visitor's Bureau Fund	531,953	133,000	92,500	572,453	7.6%
General Bond Retirement	2,605,287	14,148,062	16,437,633	315,716	-87.9%
Bonded Improvements	65,561,099	2,898,030	55,386,565	13,072,564	-80.1%
Infrastructure Improvement Fund	24,655,170	17,251,554	16,804,599	25,102,125	1.8%
Estate Tax Fund	25,373	-	25,288	85	-99.7%
Community Fiber Optic Fund	345,281	263,916	256,591	352,606	2.1%
Employee Benefit	1,434,410	5,380,363	4,799,862	2,014,911	40.5%
BWC Administration Fund	1,216,593	337,998	214,547	1,340,044	10.1%
Solid Waste Management	1,793,773	4,059,983	3,934,612	1,919,144	7.0%
Water Surcharge	1,896,891	923,785	867,728	1,952,948	3.0%
Sanitary Sewer Surcharge	3,065,235	1,439,390	971,890	3,532,735	15.3%
Stormwater Management	3,295,610	882,047	876,381	3,301,276	0.2%
UA Swimming Pools	363,048	996,344	961,729	397,663	9.5%
Unclaimed Funds	7,814	717	40	8,491	8.7%
Revolving Fund	39,331	1,147,459	1,132,976	53,814	36.8%
Construction Withholding	2,091,712	2,827,264	1,810,122	3,108,854	48.6%
Payroll Clearing Fund	557,720	34,642,919	34,869,806	330,833	-40.7%
Totals (ROUNDED)	**$ 190,111,214**	**$ 182,227,085**	**$ 221,521,300**	**$ 150,816,999**	***ROUNDED TOTALS***

Monthly Financial Report
As of December 2024

Income Tax Comparisons

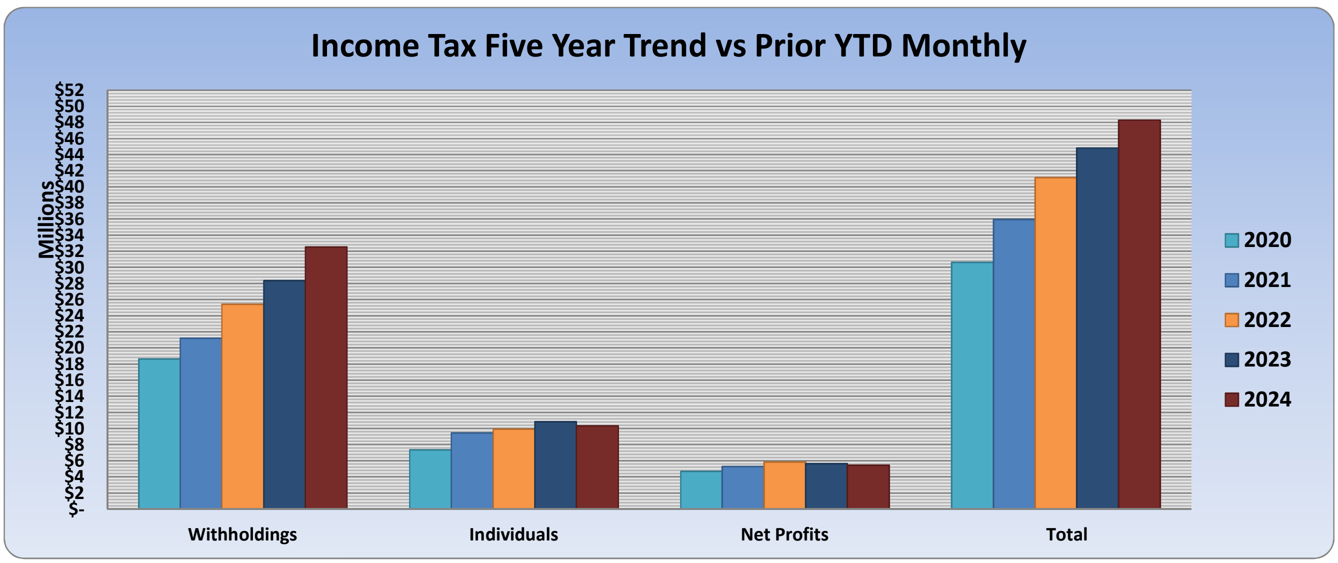
	Projections	Year to date	Difference	% Difference
Withholdings	$ 28,476,981	$ 32,526,921	$ 4,049,940	14.22%
Individuals	11,445,973	10,321,599	(1,124,374)	-9.82%
Net Profits	6,350,946	5,423,517	(927,429)	-14.60%
Total	$ 46,273,900	$ 48,272,037	$ 1,998,137	4.32%

Income Tax Five Year Comparison

	2020	2021	2022	2023	2024
Withholdings	$ 18,615,643	$ 21,205,704	$ 25,411,131	$ 28,362,433	$ 32,526,921
Individuals	7,329,581	9,443,872	9,905,520	10,849,741	10,321,599
Net Profits	4,681,900	5,294,648	5,820,432	5,616,707	5,423,517
Total	$ 30,627,124	$ 35,944,224	$ 41,137,083	$ 44,828,881	$ 48,272,037

Percentage Increase (Decrease) From Prior Year

	2019-2020	2020-2021	2021-2022	2022-2023	2023-2024
Withholdings	4.08%	13.91%	19.83%	11.61%	14.68%
Individuals	-7.85%	28.85%	4.89%	9.53%	-4.87%
Net Profits	20.58%	13.09%	9.93%	-3.50%	-3.44%
Total	3.04%	17.36%	14.45%	8.97%	**7.68%**



Interest & Investment Income

Dec-24

	General Investments	Beginning Balance	Purchased	Matured/Sold	Deposited/Withdrawn	Investment Acct.	Ending Balance
	Municipal Securities	4,102,786.00					$ 4,102,786.00
	Corporate / General Investments	11,764,306.18					$ 11,764,306.18
	Federal Agency	54,999,824.02					$ 54,999,824.02
	US Government Bonds	21,081,073.50	899,859.38				$ 21,980,932.88
	Total Bonds	$ 91,947,989.70	$ 899,859.38	$ -	$ -	$ -	$ 92,847,849.08
	Short Term Fixed Maturity Commercial Paper	-					$ -
	Other Assets (Savings Cert - Market Traded)	$ 3,439,988.55					$ 3,439,988.55
	Bond and Investments:						
	2022						
	Federal Agency	570,912.00		(458,052.00)			$ 112,860.00
	Corporate / General Investments	-					$ -
	Short Term Fixed Maturity Commercial Paper	-					$ -
	US Government Bonds	225,787.50					$ 225,787.50
	Community Center						
	Federal Agency	3,184,420.56		(797,511.00)			$ 2,386,909.56
	US Government Bonds	512,080.08					$ 512,080.08
	Total Bonds & Investments	$ 99,881,178.39	$ 899,859.38	$ (1,255,563.00)	$ -	$ -	$ 99,525,474.77
Money Markets:	General	719,451.40	(899,859.38)		(2,365.00)	261,571.12	$ 78,798.14
	Community Center	11,406.68		845,000.00	(850,000.00)	4,657.18	$ 11,063.86
	Bond 2020 & 2022	5,003.83		475,000.00	(485,000.00)	6,541.54	$ 1,545.37
	Total Money Markets	$ 735,861.91	$ (899,859.38)	$ 1,320,000.00	$ (1,337,365.00)	$ 272,769.84	$ 91,407.37
Star Ohio:	General Investment	29,938,104.67			1,478,553.83	120,933.21	$ 31,537,591.71
	Bond Proceeds	8,536,643.19			(552,327.18)	31,733.38	$ 8,016,049.39
	Federal ARPA (Gen. Inv.)	2,305,209.53			(267,342.85)	8,519.03	$ 2,046,385.71
	Gateway	970,201.35				3,872.98	$ 974,074.33
	Kingsdale	228,960.23				914.00	$ 229,874.23
	Community Center	1,731,775.26			(881,775.26)	3,025.11	$ 853,025.11
	Office (Comm. Center)	3,082,272.58			(442,108.54)	11,174.29	$ 2,651,338.33
	Total STAR Ohio	$ 46,793,166.81	$ -	$ -	$ (665,000.00)	$ 180,172.00	$ 46,308,338.81
	Total Investments	$ 147,410,207.11	$ -	$ 64,437.00	$ (2,002,365.00)	$ 452,941.84	$ 145,925,220.95
	NW Huntington Bank	$ 12,677,320.46					$ 4,891,783.09
	Total Cash & Investments	$ 160,087,527.57					$ 150,817,004.04

ITEMS PURCHASED BETWEEN $15,000 & $40,000 FIRST QUARTER 2024

DATE	VENDOR	DESCRIPTION	AMOUNT
1/9/24	CBIZ	Insurance Consulting	$28,000
1/10/24	Midwest Inspections Services	Electrical Inspections	$30,000
1/10/24	DSA Architects	Commercial Plan Review	$30,000
1/10/24	Eight-One Consulting	Commercial Plan Review	$30,000
1/10/24	Steven R. Copeland Architect	Commercial Plan Review	$30,000
1/10/24	Town & County Plans Examination	Commercial Plan Review	$30,000
1/10/24	Merchant's Automotive	Car Leases	$32,014.76
1/12/24	Orriant	Wellness Program	$30,000
1/12/24	Equivant	CourtView Software	$19,563
1/17/24	Sedgwick	Managed Care Consulting	$19,922
1/18/24	Continental	Chairs	$19,326.70
1/18/24	Baker Vehicle Systems	Mower	$37,074.57
1/23/24	Expedient Data Centers	Co-location Services	$21,775.26
1/24/24	Commission on Aging	Sponsorship Grant Funding	$25,000
1/25/24	Vasu Communications	Walkies for Fire	$29,965.44
1/26/24	Delta Dental	Dental Plans	$20,000
2/5/24	Joseph Tree Service	Tree and Stump Removal	$30,799
2/6/24	Vasu Communication	Walkies for Fire	$29,965.44
2/7/24	Clover Landscaping	Mowing Services	$21,656.60
2/12/24	Phinney Industrial Roofing	Thompson/NW Kiwanis Parks Roof Replacements	$38,205.11
2/16/24	DLL Landscaping	Clean-up of Public Gardens	$23,283.37
2/20/24	Meeder Public Funds	Investment Consulting	$32,500
2/21/24	Bob Maxey Ford	2024 Maverick Hybrid for Engineering	$32,000
2/12/24	North Branch Nursery	Purchase Trees and Plants	$16,985
2/12/24	Acorn Farms	Purchase Trees and Plants	$23,042
2/22/24	Harrell's	Turf Products	$21,553.80
2/23/24	Redd Public Safety Equip	Turnout Gear for Fire	$24,839.16
3/1/24	Parallel Technologies	Software Assurance Agreement	$39,500
3/5/24	Tentmakers	Boost Content on Digital Platforms	$36,000
3/6/24	Green Velvet Sod Farms	Turf Grass Seed	$23,420
3/12/24	Russell Tree Experts	Pruning Services	$32,400
3/21/24	Bildsten Landscaping	Installation of Hanging Baskets	$18,150
3/21/24	Mr. B's Cleaning Service	Shelter Restroom Cleaning (MBE)	$32,641
3/21/24	Boren's Grass Groomers	Landscape Maintenance	$19,749.72
3/22/24	DLL Landscaping	Lawn Care Maintenance	$18,219.75
3/22/24	Bomar Construction	PSC Door Replacement	$20,000
3/22/24	CTL Engineering	Project Mgmt Training for Engineering	$15,000
3/27/24	SCA of Ohio	Street Sweeping	$33,777.16
3/27/24	Elford, Inc.	Tenant Improvements at UACC	$25,000

ITEMS PURCHASED BETWEEN $25,000 & $150,000 SECOND – FOURTH QUARTERS 2024

DATE	VENDOR	DESCRIPTION	AMOUNT
4/2/24	Safebuilt Ohio	Building & electrical inspections	$30,000.00
4/7/24	BBCO Design LLC	Facilities General Architectural Services	$113,000.00
4/16/24	Shelly Materials	Asphalt	$30,000.00
4/16/24	Bound Tree Medical	EMS Inventory Software	$60,000.00
4/16/24	Core & Main	Fire Hydrant Parts	$43,963.75
4/18/24	RAP Management	Asphalt Supplier	$40,000.00
4/24/24	Montrose Group LLC	Economic Development Consulting	$52,000.00
5/7/24	Clark, Schaefer, Hackett & Co.	2023 Year End Audit	$31,080.00
5/13/24	Lindsay Honda	Police Unmarked Car	$32,455.00
5/24/24	Cargill	Road Salt	$83,592.00
5/30/24	Priscilla Dobies	Fire Safety Consulting	$25,000.00
6/5/24	AP Triton	Strategic Planning for Fire	$29,860.00
6/6/24	Esports Foundry	Community Center Esports Licensing	$79,000.00
6/10/24	SHI (MBE)	UPS Equipment for IT	$29,948.26
6/11/24	The EDGE Group	Fancyburg Park Design Services	$74,000.00
6/12/24	RedTree Investment Group	Investment Advisory Services	$28,380.00
6/13/24	Carpenter Marty Transportation	2024 Bridge Inspections	$38,152.88
6/19/24	Mr. B's Cleaning Services (MBE)	Custodial Services for City Buildings	$108,000.00
6/20/24	Century Equipment	2024 Toro Outcross	*$40,074.43
7/1/24	Applied Pavement Technology	Biennial Pavement Condition Assessment	$81,712.00
7/10/24	Tyler Technologies	Software Support/Licensing	$73,443.84
7/15/24	Byers Ford	Truck Purchase for Police (unmarked vehicle)	$62,590.00
7/23/24	Strand Associates, Inc.	Arlington Center Blvd. Design	$35,000.00
7/24/24	DHDC, Inc. (MBE)	Materials Testing and Inspection	$39,000.00
7/30/24	Expedient Data Centers	Colocation Services	$25,404.47
7/31/24	Midstates Recreation	Playground Surfacing	$49,999.00
8/14/24	Russell Tree Experts	Summer Tree & Stump Removal	$27,154.00
8/15/24	ConvergeOne	Upgrade Fiber Network Equipment at Community Center	$55,749.00
9/6/24	Parr Public Safety Equipment	Upfitting for Police Cruisers	$40,870.52
9/9/24	Byers Ford	Ford F250 for Parks Department	$47,716.00
9/11/24	Smoot Construction	Firing Range Repairs	$107,310.00
99/11/24	Hamilton Contractors	Fire Hydrant Painting	$40,810.00
9/17/24	CivicPlus	Website Re-design	$72,352.00
9/19/24	EPS, Inc.	Video Surveillance Cameras	$27,924.06
10/14/24	PM AM Corporation	False Alarm Management System	$57,000.00
10/23/24	Marker, Inc.	Assorted Concrete Pads/Slabs	$25,440.72

DATE	VENDOR	DESCRIPTION	AMOUNT
10/23/24	Clemans, Nelson & Associates	Personnel Mgmt and Administration	$40,000.00
10/24/24	ePlus Technology	Fiber Network Hardware	$36,845.09
11/8/24	Redd Public Safety Equipment	Turnout Gear	$40,533.00
11/26/24	Darrell Fawcett	Building Maintenance Contractor	$30,000.00
11/27/24	CommunityScale	Housing Market Detailed Analysis	$92,781.00

QUALITY BASED SELECTION CONSULTANTS – 2024

DATE	VENDOR	DESCRIPTION	AMOUNT
1/22/24	American Structurepoint	Fishinger Road Consulting	$479,113
2/10/24	Lanham Engineering (EDGE/WBE)	General Engineering	$20,000
6/25/24	MSA	Devon Pool Toddler Features Design	$143,000.00

NOTIFICATION OF CHANGE ORDERS: NON-CONSTRUCTION, CITY MANAGER APPROVAL - 2024

DATE	VENDOR	DESCRIPTION	CHANGE ORDER AMOUNT	New Total
3/27/24	Phinney Industrial Roofing	Replace sub-surface plywood during roof replacement	$1,323.45	$39,528.56
8-21-24	Kimmel Corp	Towel and Mat Replacement in Fleet	$160.25	$2,083.25

GRANTS - 2024

DATE	ORGANIZATION	DESCRIPTION	AMOUNT
1/25/24	Commission on Aging	Facilitate Older Adult Services	$12,500
2/1/24	St. Mark's Episcopal Church	Culture & Artisan Fair	$3,000
2/1/24	UA Community Foundation	Leadership UA	$1,500
2/1/24	UA Historical Society	Six Events	$2,000
2/1/24	UA Schools	Human Connection	$2,500
2/22/24	UACA	Neighbors Night Out	$3,500
3/6/24	Syntero	Older Adult Programs	$30,000
5-16-2024	Common Greens	Facilitate Farmer’s Market	$8,000.00
10/8/24	Equal UA	Kindness Close to Home: Porch Parties	$1,800.00

MBE/EDGE/DBE/WBE EVENTS - 2024

1/24/2024

The Purchasing Administrator attended a Workshop with Forrest Street Consulting (MBE).

2/14/2024

Finance staff participated in an MBE Procurement Fair at the old COSI building. The purpose of the Fair was for small businesses to learn, connect, and grow through potential partnerships with the City. Staff assisted small businesses in getting registered with the City to do business and discussed future bidding opportunities.

6/5/2024

The City hosted an MBE, WBE & EDGE Certification Program for small businesses. The Minority Business Assistance Center conducted training and facilitated one-on-one sessions that instructed the businesses on how to get State certified.

10/24/2024

Several City staff attended the annual Ohio MBE Awards at Huntington Park.

Authors:	Brent Lewis, Finance Department Director Darren Shulman, City Attorney
Council Meeting Date:	January 27, 2025
Subject/Legislative Item:	Ordinance No. 2-2025 - An ordinance authorizing the issuance of not to exceed $20,000,000 of general obligation limited tax bonds, or notes in anticipation of bonds, in one or more series, for the purpose of acquiring, constructing, installing, and equipping capital projects and public infrastructure with related equipment, acquiring land and interests in land, and all necessary appurtenances thereto; and authorizing and approving related matters *(Munc)*
Purpose:	Council is required to authorize the issuance of bonds or notes prior to the sale. These bonds/notes are related to the 2025-2026 Capital Improvement Plan (CIP).
Executive Summary:	Staff is requesting authorization of the issuance of general obligation bonds, or notes in anticipation of bonds to finance certain infrastructure improvements as adopted in the 2025 and 2026 capital improvement budget.

Purpose and Impact

Staff respectively requests passage of the ordinance authorizing the issuance of not to exceed $20 million in general obligation bonds, or notes in anticipation of bonds, to fund a portion of the 2025 and 2026 CIP. Within the 2025-2026 adopted budget, the CIP included a combined budget of $34.5M for 2025 and 2026. Of that $34.5 million, approximately $19.7 million was scheduled to be funded through the issuance of bonds. As with other bond authorization ordinances, a little flexibility has been included in the authorization amount to ensure the most effective sale. Additionally, an option to issue bond anticipation notes has been added to the ordinance in the case that the can take advantage of some short-term borrowing rates.

Note: This an authorization of a maximum issuance amount. The amount may be less based on final analysis of remaining proceeds available from previous issuances.

History

Since the passage of Issue 23 (income tax increase) in 2014, the City has adopted a practice of issuing bonds to fund two years of the CIP at a time. This methodology has proven to be successful because we have been able to take advantage of the low interest environment, maintained flexibility in what projects are funded, and had the funds available to be able to bid projects at certain times to receive the best pricing. Additionally, this methodology has allowed the City to maintain compliance with various bond requirements, including spend down requirements.

It is important to note that the authorization for the issuance of these general obligation bonds, or notes in anticipation of bonds, does not mean that there will be an additional tax on the residents and does not require a vote of the people. The debt service on the bonds will be paid with income tax receipts from our current 2.5% rate. Issuing bonds (at low rates) for projects with long useful lives (street reconstructions, utility lines, etc.) allows the payments to be spread out over a period of time so essentially the users of the assets are paying for them (if we pay in cash, current residents are paying for assets that will continue to benefit future generations). Additionally, issuing bonds versus using cash for capital improvements helps preserve healthy cash reserves during a time of unusual economic volatility.

Per our Charter, the City is allowed to have general obligation debt payments up to 5 mills of our assessed property valuation (capacity). So as property values fluctuate, and debt issuances mature and are added, so does our debt capacity. Based upon the maximum debt service schedule required for outstanding general obligation debt (but excluding therefrom debt service requirements for voted debt), the highest annual debt service requirement in any year for all City debt subject to the five-mill limitation is estimated to be approximately $8,533,472. The payment of that annual debt service would require a levy of approximately 2.91 mills per $1.00 of assessed valuation based on current (tax collection year 2024) assessed valuation of $2,931,714,250. Thus, approximately 2.09 mills remain free within the City's five-mill Charter limitation to support, based upon the current assessed valuation of the City, the issuance of approximately $75,000,000 of additional 20-year bonds of the City assuming an interest rate of 5.5% (and subject to the 5.5% direct debt limitation heretofore described).

The City's Debt policy helps provide guidance on how various capital projects should be financed. For example, cash funding should be used for assets whose useful life are five years or less, recurring maintenance expenditures (e.g., street repair vs. street reconstruction), or when market conditions are unstable or offer only historically high interest rates. While debt financing is recommended for projects with useful lives of ten years or longer and for amounts of $5 million or greater. Additionally, general obligation (or tax supported) debt funding is acceptable as long as the ratio of available capital fund dollars to all similarly funded debt payments, projected forward seven years, does not fall below two to one. City staff has performed this analysis and has determined with the addition of this issuance that the ratio is projected to remain above two to one for the next seven years. In fact, projections indicate that this ratio will be maintained for all projected issuances within the proposed 2025-2034 CIP.

As mentioned above, the debt payments would be repaid with income tax receipts. The majority of "cash funded" projects are also paid with income tax receipts transferred from the General and Capital Asset Management Funds. Though unlikely, if we were to see a drastic reduction in our income tax receipts, our financial policies still ensure that debt payments can be made. As mentioned previously, our reserve polices help ensure monies are available for

debt payments. Additionally, using a combination of debt and cash funding of CIP projects allows us to delay cash funded projects if we hit dire straits.
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Below is a brief summary of the currently adopted CIP for 2025 and 2026.

2025 and 2026 CIP

Project Type	Debt	Cash/Grants	Total
Streets	$8,287,200	$7,846,900	$16,134,100
Traffic signal, street light projects	0	226,000	226,000
Water projects	3,333,100	505,600	3,838,700
Stormwater projects	177,300	644,500	821,800
Sewer projects	3,626,200	442,000	4,068,200
Sidewalk projects	0	2,957,600	2,957,600
Parks projects	4,099,900	1,659,700	5,759,600
Other projects	150,000	575,000	725,000
Total	**$19,673,700**	**$14,857,300**	**$34,531,000**

**Note: The outside members of our bond team consists of: Bradley Payne Advisors (Municipal Advisor), Bricker Graydon (Bond Council), and Stifel Nicolaus (Underwriter)

Alternatives
In order to move forward with the current CIP, the City Council will need to authorize the issuance of bond/notes. Alternatively, the City Council could elect to use excess cash reserves and reduce the issuance amount. If this would be elected, this ordinance would not require any changes at this time.

Attachments

1.	Ordinance No. 2-2025

ORDINANCE NO. 2-2025

AUTHORIZING THE ISSUANCE OF NOT TO EXCEED $20,000,000 OF GENERAL OBLIGATION LIMITED TAX BONDS, OR NOTES IN ANTICIPATION OF BONDS, IN ONE OR MORE SERIES, FOR THE PURPOSE OF ACQUIRING, CONSTRUCTING, INSTALLING, AND EQUIPPING CAPITAL PROJECTS AND PUBLIC INFRASTRUCTURE WITH RELATED EQUIPMENT, ACQUIRING LAND AND INTERESTS IN LAND, AND ALL NECESSARY APPURTENANCES THERETO; AND AUTHORIZING AND APPROVING RELATED MATTERS

WHEREAS, the City of Upper Arlington, Ohio (the "City") has determined to acquire, construct, install, and equip capital projects and public infrastructure with related equipment, to acquire land and interests in land, and all necessary appurtenances thereto (collectively, the "Project"); and

WHEREAS, the Finance Director of the City (the "Finance Director") has certified to this City Council (the "Council") that the estimated life of the improvements comprising the Project, which are to be financed with the proceeds of the bonds herein described exceeds five (5) years and the maximum maturity of said bonds is thirty (30) years; provided that if notes are issued in anticipation of said bonds, the maximum maturity of such notes is twenty (20) years; and

WHEREAS, it is now deemed necessary to issue and sell not to exceed $20,000,000 of such bonds or notes in anticipation of bonds, or a combination thereof, under authority of the general laws of the State of Ohio, including Chapter 133, Ohio Revised Code, and in particular Section 133.23 thereof, and the Charter of the City (the "Charter") for the purpose stated in the title of this Ordinance.

NOW, THEREFORE, BE IT ORDAINED by the Council of the City of Upper Arlington, Ohio:

SECTION 1. It is hereby declared necessary to issue bonds of the City, in one or more series, in the aggregate principal sum of not to exceed $20,000,000, or such lesser amount as shall be determined by the Finance Director and certified to this Council, which bonds shall be designated "Various Purpose Limited Tax Bonds, Series 2025," or as otherwise designated by the Finance Director (the "Bonds"), for the purpose described in the title of this Ordinance. In anticipation of the issuance of all or a portion of the Bonds, this Council hereby authorizes the issuance of notes in anticipation of the Bonds, in one or more series, which notes shall be designated "Various Purpose Limited Tax Notes, Series 2025," or as otherwise designated by the Finance Director (the "Notes" and, together with the Bonds, the "Securities"), upon the determination of the Finance Director that it is in the best interest of the City to issue such Notes. The aggregate principal amount of the Securities issued pursuant to this Ordinance shall not exceed $20,000,000.

SECTION 2. It is hereby determined, that for the purposes of issuance and sale, it may be in the best interest of the City to combine the Securities with other general obligation securities of the City authorized by separate ordinances of this Council, as determined by the Finance Director. The Securities and such other bonds shall be jointly referred to herein as the "Combined Bonds." As used in this Ordinance, the term "Bonds" shall also mean the Combined Bonds, where appropriate.

SECTION 3. The Bonds shall be issued as fully registered bonds in denominations of $5,000 or any integral multiple thereof; shall be numbered consecutively from R-1 upward, as determined by the Finance Director; shall be dated the date determined by the Finance Director and set forth in the Certificate of Fiscal Officer provided for hereinbelow; and shall bear interest, payable semiannually on such dates as shall be determined by the Finance Director and set forth in the Certificate of Fiscal Officer, until the principal sum is paid or provision has been duly made therefor. Interest shall be calculated on the basis of a 360-day year of twelve 30-day months. Coupons shall not be attached to the Bonds.

In the event the Finance Director determines it to be in the best interest of the City to issue the Securities in the form of the Notes, the Notes shall be issued as fully registered securities in denominations as determined by the Finance Director and set forth in the Certificate of Fiscal Officer, but not exceeding the principal amount of the Notes, shall be numbered as determined by the Finance Director and shall bear interest at the rate, payable on such dates and on such basis as shall be set forth in the Certificate of Fiscal Officer.

SECTION 4. The sale and award of the Securities shall be evidenced by a Certificate of Fiscal Officer (the "Certificate of Fiscal Officer") signed by the Finance Director. The Certificate of Fiscal Officer shall set forth (a) the aggregate principal amount and the final terms of the

Securities, which aggregate principal amount and terms shall be subject to the limitations set forth in this Ordinance, (b) the dated date for the Securities, (c) the dates on which interest on the Securities is to be paid (each, an "Interest Payment Date"), (d) the purchase price for the Securities (which shall be not less than 97% of the face value thereof), (e) the maturity schedule for the Securities, (f) the interest rates for the Securities (provided that the true interest cost for all Bonds in the aggregate shall not exceed 5.00% per annum and the true costs for all Notes in the aggregate shall not exceed 7.00% per annum), (g) the optional and mandatory redemption provisions, if any, and (h) such other terms not inconsistent with this Ordinance as the Finance Director shall deem appropriate.

SECTION 5. The Bonds shall be issued with interest payable semiannually on each Interest Payment Date until the principal sum is paid or provision has been duly made therefor (the "Current Interest Bonds") or with interest compounded on each Interest Payment Date but payable only at maturity (the "Capital Appreciation Bonds") in such proportions as shall be set forth in the Certificate of Fiscal Officer. The Current Interest Bonds shall be in the denominations of $5,000 or any integral multiple thereof, and the Capital Appreciation Bonds shall be in the denominations on the date of their issuance and delivery equal to the principal amount which, when interest is accrued and compounded thereon, beginning on the date of delivery to the Original Purchaser (as defined hereinbelow), and each Interest Payment Date thereafter, will equal $5,000 or any integral multiple thereof at maturity. The Current Interest Bonds shall be dated such date as shall be determined by the Finance Director and set forth in the Certificate of Fiscal Officer and the Capital Appreciation Bonds shall be dated their date of delivery to the Original Purchaser.

SECTION 6. The Current Interest Bonds shall be subject to optional and mandatory redemption prior to stated maturity as provided in the Certificate of Fiscal Officer. If optional redemption of the Current Interest Bonds at a redemption price exceeding 100% is to take place on any date on which a mandatory redemption of the Current Interest Bonds of the same maturity will take place, the Current Interest Bonds to be redeemed by optional redemption shall be selected by the Registrar (as defined hereinbelow) prior to the selection of the Current Interest Bonds to be redeemed at par pursuant to mandatory redemption on the same date.

When partial redemption is authorized, the Registrar shall select a Current Interest Bond or portions thereof by lot within a maturity in such manner and in such denominations as the Registrar may determine, provided, however, that the portion of any Current Interest

Bond so selected will be in the amount of $5,000 or any integral multiple thereof.

The notice of the call for redemption of a Current Interest Bond shall identify (i) by designation, letters, numbers or other distinguishing marks, the Current Interest Bond or portions thereof to be redeemed, (ii) the redemption price to be paid, (iii) the date fixed for redemption, and (iv) the place or places where the amounts due upon redemption are payable. From and after the specified redemption date interest on the Current Interest Bond (or portions thereof) called for redemption shall cease to accrue. Such notice shall be sent by first class mail at least 30 days prior to the redemption date to each registered holder of the Current Interest Bond to be redeemed at the address shown in the Register (as defined hereinbelow) on the 15th day preceding the date of mailing. Failure to receive such notice or any defect therein shall not affect the validity of the proceedings for the redemption of any Current Interest Bond.

SECTION 7. The Securities shall express upon their faces the purpose for which they are issued and that they are issued pursuant to this Ordinance and shall be executed by the Finance Director and the City Manager of the City (the "City Manager"), in their official capacities; provided that either or both of their signatures may be a facsimile. No Securities shall be valid or become obligatory for any purpose or shall be entitled to any security or benefit under this Ordinance unless and until a certificate of authentication, as printed on the Security, is signed by the Registrar as authenticating agent. Authentication by the Registrar shall be conclusive evidence that the Security so authenticated has been duly issued and delivered under this Ordinance and is entitled to the security and benefit of this Ordinance. The certificate of authentication may be signed by any officer or officers of the Registrar or by such other person acting as an agent of the Registrar as shall be approved by the Finance Director on behalf of the City. It shall not be necessary that the same authorized person sign the certificate of authentication on all of the Securities.

SECTION 8. The principal of and interest on the Securities shall be payable in lawful money of the United States of America without deduction for the services of the Registrar as paying agent. The principal of the Securities shall be payable upon presentation and surrender of the Securities at the principal office of the Registrar. Each Security shall bear interest from the later of the date thereof, or the most recent Interest Payment Date to which interest has been paid or duly provided for, unless the date of authentication of any Security is less than 15 days prior to an Interest Payment Date, in which case interest shall accrue from such Interest Payment Date. Interest on any Security shall be paid on each Interest Payment Date by check or draft mailed to the person in whose name the Security is registered, at the close of business on the 15th day next preceding that Interest Payment Date (the "Record Date") (unless such date falls on a non-business day, in which case the Record Date shall be the preceding business day), on the Register at the address appearing therein.

Any interest on any Security which is payable, but is not punctually paid or provided for, on any Interest Payment Date (herein called "Defaulted Interest") shall forthwith cease to be payable to the registered owner on the relevant Record Date by virtue of having been such owner and such Defaulted Interest shall be paid to the registered owner in whose name the Security is registered at the close of business on a date (the "Special Record Date") to be fixed by the Registrar, such Special Record Date to be not more than 15 nor less than 10 days prior to the date of proposed payment. The Registrar shall cause notice of the proposed payment of such Defaulted Interest and the Special Record Date therefor to be mailed, first class postage prepaid, to each bondholder, at such bondholder's address as it appears in the Register, not less than 10 days prior to such Special Record Date, and may, in its discretion, cause a similar notice to be published once in a newspaper in each place where Securities are payable, but such publication shall not be a condition precedent to the establishment of such Special Record Date.

Subject to the foregoing provisions of this Section, each Security delivered by the Registrar upon transfer of or in exchange for or in lieu of any other Security shall carry the rights to interest accrued and unpaid, and to accrue, which were carried by such other Security.

SECTION 9. The Finance Director is hereby authorized and directed to serve as authenticating agent, bond or note registrar, transfer agent, and paying agent for the Securities (collectively, the "Registrar") or to execute on behalf of the City a Bond Registrar Agreement or Note Registrar Agreement with such bank or other appropriate financial institution as shall be acceptable to the Finance Director and the Original Purchaser, pursuant to which such bank or financial institution shall agree to serve as the Registrar for the Securities. If at any time the Registrar shall be unable or unwilling to serve as such, or the Finance Director in such officer's discretion shall determine that it would be in the best interest of the City for such functions to be performed by another party, the Finance Director may, and is hereby authorized and directed to enter into an agreement with a national banking association or other appropriate institution experienced in providing such services, to perform the services required of the Registrar hereunder. Each such successor Registrar shall promptly advise all bondholders of the change in identity and new address of the Registrar. So long as any of the Securities remain outstanding, the City shall cause to be maintained and kept by the Registrar, at the office of the Registrar, all books and records necessary for the registration, exchange and transfer of Securities as provided in this Section (the “Register”). Subject to the provisions hereof, the person in whose name any Security shall be registered on the Register shall be regarded as the absolute owner thereof for all purposes. Payment of or on account of the principal of and interest on any Security shall be made only to or upon the order of that person. Neither the City nor the Registrar shall be affected by any notice to the contrary, but the registration may be changed as herein provided. All payments shall be valid and effectual to satisfy and discharge the liability upon the Securities, including the interest thereon, to the extent of the amount or amounts so paid.

Any Security, upon presentation and surrender at the office of the Registrar, together with a request for exchange signed by the registered owner or by a person authorized by the owner to do so by a power of attorney in a form satisfactory to the Registrar, may be exchanged for Securities of the same form and of any authorized denomination or denominations equal in the aggregate to the unmatured principal amount of the Securities surrendered, and bearing interest at the same rate and maturing on the same date.

A Security may be transferred only on the Register upon presentation and surrender thereof at the office of the Registrar, together with an assignment executed by the registered owner or by a person authorized by the owner to do so by a power of attorney in a form satisfactory to the Registrar. Upon that transfer, the Registrar shall complete, authenticate and deliver a new Security or Securities of any authorized denomination or denominations equal in the aggregate to the unmatured principal amount of the Securities surrendered, and bearing interest at the same rate and maturing on the same date.

The City and the Registrar shall not be required to transfer or exchange (i) any Security during a period beginning at the opening of business fifteen (15) days before the day of mailing of a notice of redemption of Securities, and ending at the close of business on the day of such mailing, or (ii) any Securities selected for redemption, in whole or in part, following the date of such mailing.

In all cases in which Securities are exchanged or transferred hereunder, the City shall cause to be executed and the Registrar shall authenticate and deliver Securities in accordance with the provisions of this Ordinance. The exchange or transfer shall be without charge to the owner; except that the City and Registrar may make a charge sufficient to reimburse them for any tax or other governmental charge required to be paid with respect to the exchange or transfer. The City or the Registrar may require that those charges, if any, be paid before it begins the procedure for the exchange or transfer of the Securities. All Securities issued upon any transfer or exchange shall be the valid obligations of the City, evidencing the same debt, and entitled to the same benefits under this Ordinance, as the Securities surrendered upon that transfer or exchange.

SECTION 10. For purposes of this Ordinance, the following terms shall have the following meanings:

"Book entry form" or "book entry system" means a form or system under which (i) the beneficial right to payment of principal of and interest on the Securities may be transferred only through a book entry and (ii) physical Securities in fully registered form are issued only to a Depository or its nominee as registered owner, with the Securities "immobilized" in the custody of the Depository, and the book entry is the record that identifies the owners of beneficial interests in those Securities.

"Depository" means any securities depository that is a clearing agency under federal law operating and maintaining, together with its participants, a book entry system to record beneficial ownership of Securities and to effect transfers of Securities, in book entry form, and includes The Depository Trust Company (a limited purpose trust company), New York, New York.

All or any portion of the Securities may be initially issued to a Depository for use in a book entry system, and the provisions of this Section shall apply, notwithstanding any other provision of this Ordinance: (i) there shall be a single Security of each maturity; (ii) those Securities shall be registered in the name of the Depository or its nominee, as registered owner, and immobilized in the custody of the Depository; (iii) the beneficial owners in book entry form shall have no right to receive Securities in the form of physical securities or certificates; (iv) ownership of beneficial interests in any Securities in book entry form shall be shown by book entry on the system maintained and operated by the Depository, and transfers of the ownership of beneficial interests shall be made only by the

Depository and by book entry; and (v) the Securities as such shall not be transferable or exchangeable, except for transfer to another Depository or to another nominee of a Depository, without further action by the City. Debt service charges on Securities in book entry form registered in the name of a Depository or its nominee shall be payable in same day funds delivered to the Depository or its authorized representative (i) in the case of interest, on each Interest Payment Date, and (ii) in all other cases, upon presentation and surrender of Securities as provided in this Ordinance.

The Registrar may, with the approval of the City, enter into an agreement with the beneficial owner or registered owner of any Security in the custody of a Depository providing for making all payments to that owner of principal and interest on that Security or any portion thereof (other than any payment of the entire unpaid principal amount thereof) at a place and in a manner (including wire transfer of federal funds) other than as provided above in this Ordinance, without prior presentation or surrender of the Security, upon any conditions which shall be satisfactory to the Registrar and the City. That payment in any event shall be made to the person who is the registered owner of that Security on the date that principal is due, or, with respect to the payment of interest, as of the applicable date agreed upon as the case may be. The Registrar shall furnish a copy of each of those agreements, certified to be correct by the Registrar, to other paying agents for Securities and to the City. Any payment of principal or interest pursuant to such an agreement shall constitute payment thereof pursuant to, and for all purposes of, this Ordinance.

If requested, the Finance Director, or any other officer of the City, is authorized and directed to execute, acknowledge and deliver, in the name of and on behalf of the City, a letter agreement among the City, the Registrar and The Depository Trust Company, as depository, to be delivered in connection with the issuance of the Securities to the Depository for use in a book entry system in substantially the form submitted to this Council.

If any Depository determines not to continue to act as the depository for the Securities for use in a book entry system, the City and the Registrar may attempt to establish a securities depository/book entry relationship with another qualified Depository under this Ordinance. If the City and the Registrar do not or are unable to do so, the City and the Registrar, after the Registrar has made provision for notification of the beneficial owners by the then Depository, shall permit withdrawal of the Securities from the Depository and authenticate and deliver security certificates in fully registered form to the assigns of the Depository or its nominee, all at the cost and expense (including costs of printing definitive Securities), if the event is not the result of action or inaction by the City or the Registrar, of those persons requesting such issuance.

<u>SECTION 11</u>. There shall be and is hereby levied annually on all the taxable property in the City, in addition to all other taxes and inside the City's Charter millage limitation, a direct tax (the "Debt Service Levy") for each year during which any of the Securities are outstanding for the purpose of providing, and in an amount which is sufficient to provide, funds to pay interest upon the Securities as and when the same falls due and to provide a fund for the repayment of the principal of the Securities at maturity or upon redemption. The Debt Service Levy shall not be less than the interest and sinking fund tax required by Article XII, Section 11 of the Ohio Constitution.

<u>SECTION 12</u>. The Debt Service Levy shall be and is hereby ordered computed, certified, levied and extended upon the tax duplicate and collected by the same officers, in the same manner, and at the same time that taxes for general purposes for each of such years are certified, extended and collected. The Debt Service Levy shall be placed before and in preference to all other items and for the full amount thereof. The funds derived from the Debt Service Levy shall be placed in a separate and distinct fund and shall be irrevocably pledged for the payment of the premium, if any, and interest on and principal of the Securities when and as the same falls due. Notwithstanding the foregoing, if the City determines that funds from other sources will be available for the payment of the Securities in any year, the amount of the Debt Service Levy for such year shall be reduced by the amount of such funds that will be so available and appropriated for that purpose, and the City shall appropriate such funds to the payment of the Securities in accordance with law.

<u>SECTION 13</u>. Except for any portion of the Securities that are "self-supporting securities" for purposes of Ohio Revised Code Section 133.05(B)(1), the City hereby covenants, pursuant to Ohio Revised Code Section 133.05(B)(7), to appropriate annually from lawfully available municipal income taxes, and to continue to levy and collect municipal income taxes adequate to produce, amounts necessary to meet the debt charges on the Securities in each year until full payment is made.

<u>SECTION 14</u>. The Securities shall be sold at private sale to Stifel, Nicolaus & Company, Incorporated, or such purchaser or purchasers as the Finance Director shall designate in the Certificate of Fiscal Officer (the "Original Purchaser"), at the purchase price set forth in the Certificate of Fiscal Officer, plus interest accrued to the date of delivery of the Securities to the Original Purchaser. The Finance Director and the City Manager, or either of them individually, are authorized and directed to execute on behalf of the City a Purchase Agreement with the Original Purchaser (which agreement may be combined with the purchase agreement for the purchase of other general obligation securities authorized by separate ordinances of this Council), setting forth the conditions under which the Securities are to be sold and delivered, which Purchase Agreement shall be in such form, not inconsistent with this Ordinance, as the Finance Director shall determine.

The proceeds of the Securities, except the premium and accrued interest thereon, shall be used for the purpose aforesaid and for no other purpose. Any accrued interest received from such sale shall be transferred to the Bond Retirement Fund to be applied to the payment of the principal of and interest on the Securities, or other obligations of the City, as permitted by law. Any premium from the sale of the Securities shall be deposited into the fund or funds specified in the Certificate of Fiscal Officer and shall be used for the proper purposes of such fund or funds.

SECTION 15. The City hereby covenants that it shall comply with the requirements of all existing and future laws which must be satisfied in order that interest on the Securities is and will continue to be excluded from gross income for federal income tax purposes, including without limitation restrictions on the use of the property financed with the proceeds of the Securities so that the Securities will not constitute "private activity bonds" within the meaning of Section 141 of the Internal Revenue Code of 1986, as amended (the "Code"). The City further covenants that it shall restrict the use of the proceeds of the Securities in such manner and to such extent, if any, as may be necessary, after taking into account reasonable expectations at the time the Securities are issued, so that they will not constitute arbitrage bonds under Section 148 of the Code and the regulations prescribed thereunder (the "Regulations").

The Finance Director, or any other officer, including the City Manager, is hereby authorized and directed (a) to make or effect any election, selection, designation, choice, consent, approval or waiver on behalf of the City with respect to the Securities as permitted or required to be made or given under the federal income tax laws, for the purpose of assuring, enhancing or protecting favorable tax treatment or the status of the Securities or interest thereon or assisting compliance with requirements for that purpose, reducing the burden or expense of such compliance, reducing any rebate amount or any payment of penalties, or making any payments of special amounts in lieu of making computations to determine, or paying, any excess earnings as rebate, or obviating those amounts or payments, as determined by the Finance Director, which action shall be in writing and signed by the Finance Director, or any other officer, including the City Manager, on behalf of the City; (b) to take any and all actions, make or obtain calculations, and make or give reports, covenants and certifications of and on behalf of the City, as may be appropriate to assure the exclusion of interest from gross income and the intended tax status of the Securities; and (c) to give an appropriate certificate on behalf of the City, for inclusion in the transcript of proceedings, setting forth the facts, estimates and circumstances, and reasonable expectations of the City pertaining to Section 148 and the Regulations, and the representations, warranties and covenants of the City regarding compliance by the City with Sections 141 through 150 of the Code and the Regulations.

The Finance Director shall keep and maintain adequate records pertaining to investment of all proceeds of the Securities sufficient to permit, to the maximum extent possible and presently foreseeable, the City to comply with any federal law or regulation now or hereafter having applicability to the Securities which limits the amount of proceeds which may be invested on an unrestricted yield or requires the City to rebate arbitrage profits to the United States Department of the Treasury. The Finance Director is hereby authorized and directed to file such reports with, and rebate arbitrage profits to, the United States Department of the Treasury, to the extent that any federal law or regulation having applicability to the Securities requires any such reports or rebates.

SECTION 16. The distribution of an Official Statement of the City, in preliminary and final form, relating to the original issuance of the Securities is hereby authorized if the Finance Director determines that it is necessary or advisable to prepare and distribute an Official Statement in connection with the original issuance of the Securities (which Official Statement may be the same offering document used in connection with the sale of other general obligation bonds authorized by separate ordinances of this Council). If the Finance Director so determines, then the Finance Director and City Manager are hereby authorized and directed to negotiate, prepare and execute, on behalf of the City and in their official capacity, the Official Statement and any supplements thereto as so executed in connection with the original issuance of the Securities, and they are authorized and directed to advise the Original Purchaser in writing regarding limitations on the use of the Official Statement and any supplements thereto for purposes of marketing or reoffering the Securities as they deem necessary or appropriate to protect the interests of the City. The Finance Director, City Manager, and City Attorney for the City (the "City Attorney") are each authorized to execute and deliver, on behalf of the City and in their official capacities, such certificates in connection with the accuracy of an Official Statement, in either preliminary or final form, and any supplements thereto as may, in their judgment, be necessary or appropriate.

SECTION 17. The obtaining or updating of a rating or ratings on the Securities and the City is hereby authorized if the Finance Director determines that it is necessary or advisable in connection with the original issuance of the Securities. If the Finance Director so determines, then the Finance Director and City Manager are hereby authorized and directed to take all steps necessary to obtain such rating or ratings.

SECTION 18. Bradley Payne, LLC is hereby appointed to serve as financial advisor to the City for the Securities. The Finance Director is hereby authorized to enter into any agreements necessary to memorialize this relationship. The fees to be paid to such firm shall be subject to review and approval of the Finance Director, shall not exceed the fees customarily charged for such services, and shall be paid upon closing of the financing from the proceeds of the Securities or as otherwise determined by the Finance Director.

SECTION 19. The City Attorney and the Finance Director are hereby authorized to approve the fee to be paid to Bricker Graydon LLP to serve as bond counsel to the City for the Securities. Such fees shall not exceed the fees customarily charged for such services, and shall be paid upon closing of the financing from the proceeds of the Securities or as otherwise determined by the City Attorney and the Finance Director.

SECTION 20. The officer having charge of the minutes of the Council and any other officers of the Council, or any of them individually, are hereby authorized and directed to prepare and certify a true transcript of proceedings pertaining to the Securities and to furnish a copy of such transcript to the Original Purchaser. Such transcript shall include certified copies of all proceedings and records of the Council relating to the power and authority of the City to issue the Securities and certificates as to matters within their knowledge or as shown by the books and records under their custody and control, including but not limited to a general certificate of the Finance Director and a no-litigation certificate of the City Manager and the Finance Director, and such certified copies and certificates shall be deemed representations of the City as to the facts stated therein.

<u>SECTION 21</u>. This Council further hereby authorizes and directs the City Manager, the City Attorney, the Finance Director, the City Clerk, or other appropriate officers of the City to prepare and sign all agreements and instruments and to take any other actions, including the appointment of bond counsel, as may be appropriate to implement this Ordinance.

<u>SECTION 22</u>. It is hereby found and determined that all acts, conditions and things necessary to be done precedent to and in the issuing of the Securities in order to make them legal, valid and binding obligations of the City have happened, been done and been performed in regular and due form as required by law; that the full faith, credit and revenue of the City are hereby irrevocably pledged for the prompt payment of the principal and interest thereof at maturity; and that no limitation of indebtedness or taxation, pursuant to statutory, constitutional or Charter limitations, has been exceeded in issuing the Securities.

<u>SECTION 23</u>. It is hereby found and determined that all formal actions of this Council concerning and relating to the adoption of this Ordinance were adopted in an open meeting of this Council, and that all deliberations of this Council and of any of its committees that resulted in such formal action were in meetings open to the public in compliance with all legal requirements, including Section 121.22 of the Ohio Revised Code.

<u>SECTION 24</u>. The City Clerk is hereby directed to forward a certified copy of this Ordinance to the County Auditor of Franklin County, Ohio.

<u>SECTION 25</u>. In accordance with the Charter of the City and the Rules of Council approved in accordance therewith, this Ordinance shall take effect and be in force from and immediately after its adoption so that the Securities can be sold as soon as possible to take advantage of favorable interest rates and so that work on the Project can proceed.

Authors: Darren Shulman, City Attorney

Council Meeting Date: January 27, 2025

Subject/Legislative Item: Ordinance No. 3-2025 - An ordinance amending Chapter 171 - Mayor's Court of the Upper Arlington Code of Ordinances

Purpose: Increase the pay for magistrates in Mayor's Court and adjusts fees.

Executive Summary: This legislation is a companion to the budget, which reflects an increase in pay for Mayor's Court magistrates. The increase is recommended based on a survey of area mayor's courts and the recommendation is consistent with the findings of that survey. In addition, based on case volume, the Mayor's Court sessions have been going longer. Magistrates are paid per session, so the longer court times support additional pay. The legislation also allows for adjustments to court fees, which have not been updated in several years.

Purpose and Impact

Per Council's direction during the budget process, this legislation removes the magistrate pay amount from the City's Codified ordinances. If passed, going forward, the contracts would be treated like any other professional services contract under the City's procurement code. As part of the legal department's code review project, this ordinance also makes the following additional changes:

- Aligns with current practice of appointing magistrates by removing language indicating the mayor and vice mayor preside over mayor's court. In recognition that there could be an emergency situation, the revised language does permit the clerk to request the mayor or vice mayor preside if the clerk is unable to schedule a magistrate. The City does not anticipate ever needing to do this as we currently use a rotation of magistrates.
- Aligns code with current practice of having mayor review and approve mayor's court rules instead of creating them, as most of the rules are driven by recommendations by the Mayor's Court Clerk.
- Adds language to Mayor's Court Computer Fund and Clerk of Courts Fund to align with how the funds are named in state code.

- Raises the maximum Clerk of Court Computerization Fund fee to $20 to reflect the increased limit permitted by state law. However, the fee will currently be set at $12.
- Removes $2.50 fee that is not being collected.

While they do not require Council approval, the following changes are being made to the Fee and Bond schedules:

Fee Changes (last reviewed in 2019)

- Computer Funds: This fee will increase by $9, which will allow the City to implement technology programs that will make court more efficient and provide better service to users. Examples of planned and/or potential uses include transitioning to e-tickets, establishing a text system to remind people of their court dates, and an easier system for individuals to request continuances.
- Trials: Every defendant has a right to trial, so this change removes the charge for people exercising their rights.
- Request to change in limited driving privileges: The City wants to encourage people to obtain privileges and keep them up to date, and is therefore removing this charge.

Bond Schedule changes (last revised in 2021)
The City prefers to use methods such as license forfeitures or registration blocks to obtain attendance in court. However, recent legislative proposals may change what the City is able to do. The following changes provide the City with options should it no longer be able to use license forfeitures:

- Unclassified Misdemeanor: Reducing the bond from $200 to $150 places less of a burden on defendants and is aimed at making it easier for them to post bond while still covering the City's court costs should they fail to appear in court. A typical unclassified misdemeanor is driving without a license.
- Minor misdemeanor: The preference is not to use a warrant in these cases. However, should a warrant be necessary, the City believes a $100 bond captures most of court costs and reflects the low severity of the charge. A typical minor misdemeanor is a speeding ticket.
- Minor misdemeanor out of state: The bond for minor misdemeanors for people who live outside of Ohio reflects the greater chance that they will not appear in court as well as the fact that Ohio is limited in what it can do to secure their attendance.

History
While some parts of Chapter 171 - Mayor's Court have been updated more recently, state code has changed last reviewed. Magistrate's pay has not been reviewed since 2011.

Alternatives

City Council may choose not to make adjustments to Chapter 171 - Mayor's Court, which would make it challenging to find magistrates to cover court sessions.

Attachments

1.	Ordinance No. 3-2025
2.	Exhibit A - CHAPTER_171.___MAYOR_S_COURT REVISION DRAFT (1-6-25)
3.	Exhibit B - Mayor's Court Fees and Bond Schedule

RECORD OF ORDINANCES

CITY OF UPPER ARLINGTON
STATE OF OHIO

ORDINANCE NO. 3-2025

TO AMEND CHAPTER 171 – MAYOR'S COURT OF THE UPPER ARLINGTON CODE OF ORDINANCES

WHEREAS, the City Manager, in conjunction with the Finance Director and the City Attorney, has recommended increases to the Mayor's Court magistrates' pay per Mayor's Court session; and

WHEREAS, it is the recommendation of staff that the magistrates' pay, which is currently set at $400/session worked per §171.01, be increased to $500/session worked to remain competitive with other local Mayor's Courts; and

WHEREAS, to increase efficiency, it is recommended to remove the magistrates' pay from the codified ordinance; and

WHEREAS, the proposed amounts were included in the 2025-2026 Municipal Program of Services (Draft), adopted by City Council on December 16, 2024; and

WHEREAS, the Mayor's Court magistrate salary adjustments will go into effect 30 days after passage, but pay shall be retroactive to January 1, 2025; and

WHEREAS, staff recommend other changes to the Mayor's Court chapter, including fee adjustments; and

WHEREAS, a proposed revised fee and bond schedule, which are contained in the Mayor's Court rules is attached for reference as Exhibit B.

NOW, THEREFORE, BE IT ORDAINED by the Council of the City of Upper Arlington, Ohio, that:

SECTION 1. Chapter 171 of the Upper Arlington Codified Ordinances is hereby amended as contained in the attached Exhibit A.

SECTION 2. The magistrate per session pay of $500 shall be retroactive to January 1, 2025.

SECTION 3. This ordinance shall take effect 30 days after passage.

CHAPTER 171. MAYOR'S COURT

§ 171.01 DUTIES AND COMPENSATION OF PUBLIC OFFICIALS SERVING IN MAYOR'S COURT.

~~The mayor and vice-mayor shall be paid eighty-four dollars ($84.00) per court session worked. If the mayor is unavailable to preside on a scheduled date(s), the mayor shall appoint either the vice mayor or magistrate to preside on that particular date(s).~~

The mayor shall appoint magistrates to preside over mayor's court. A person appointed as a mayor's court magistrate, other than the mayor or vice-mayor, shall be paid ~~four hundred dollars ($400.00)~~ per mayor's court session worked at a rate established by contract. ~~not to exceed an annual salary of fifteen thousand dollars ($15,000.00)~~. Upon request by the Clerk of Court, the mayor or vice mayor preside over mayor's court in the event the City is unable to schedule a magistrate.

The mayor ~~has the authority to create~~ shall review and approve the rules and procedures to govern the court. These rules prescribe the conduct and procedures to be followed in mayor's court and sets forth the fines, fees and court costs to be imposed by the court.

~~The mayor and vice-mayor shall be paid a total fixed annual salary of four thousand two hundred dollars ($4,200.00) for performance of their duties as judges of the mayor's court in accordance with ORC §1905.21. This fixed annual salary for the mayor and vice-mayor shall be distributed between the mayor and vice-mayor on a proportionate basis, such proportions to be based on the number of sessions at which each presides during the annual period.~~

(Ord. No. 115-2010, 3-16-2011)

§ 171.02 CLERK OF COURT.

The city manager shall appoint a clerk of court who shall maintain the records of the mayor's court in accordance with applicable state law and rules of court. The clerk of court shall be part of the finance department and supervised by the finance director unless otherwise determined by the city manager.

(Ord. No. 5-2019, § 1, 2-11-2019)

§ 171.03 DEPUTY CLERKS.

The city manager may appoint, such deputy clerks of court as are necessary to fulfill his/her duties in accordance with applicable state law and rules of court.

(Ord. No. 5-2019, § 1, 2-11-2019)

§ 171.04 VIOLATIONS BUREAU.

(A) A traffic violations bureau is hereby established in accordance with Rule 13, Ohio Traffic Rules. It shall have authority to process and dispose of those traffic offenses for which no court appearance is required by law or by the arresting officer in reasonable exercise of his discretion. The traffic violations bureau shall be administered by the clerk of court for the mayor's court in accordance with the applicable law and the fine and bail schedules established by the rules of mayor's court.

(B) A minor misdemeanor violations bureau is hereby established in accordance with Rule 4.1, Ohio Rules of Criminal Procedure. It shall have authority to process and dispose of those minor misdemeanor offenses for which no court appearance is required by law or by the arresting officer in the reasonable exercise of his discretion. The minor misdemeanor violations bureau shall be administered by the clerk of court for the mayor's court in accordance with the applicable law and the fine and bail schedules established by the rules of the mayor's court.

(C) The violations bureau shall keep accurate records of all of their activities and submit summarized reports, no less than quarterly to the mayor, of all notices issued and arrests made for violations of city ordinances and of fines collected by the bureau of the court, and of the final disposition and present status of every case of violation of city ordinances.

§ 171.05 MAYOR'S COURT COMPUTER AND LEGAL RESEARCH FUND.

A fee, not to exceed three dollars ($3.00), shall be collected on each case and deposited into the mayor's court computer fund, which is hereby established. Such fee shall be established as a cost pursuant to the rules of court. Said fund shall be used to pay the cost of computerization of the mayor's court and for computerized legal research services.

Expenditures from said fund are subject to appropriation by city council and shall be made only for the furtherance of maintaining and replacing the computer software and hardware of the mayor's court and for computerized legal research or as set out in ORC § 1901.261(A)(1).

§ 171.06 CLERK OF COURTS' COMPUTERIZATON FUND.

A fee, not to exceed ten dollars ($~~10.00~~ 20.00), shall be collected on each case and deposited into the clerk of courts fund, which is hereby established. Such fee shall be established as a cost pursuant to the rules of court. Said fund shall be used to pay the cost of computerization of the clerk of courts' office.

Expenditures from said fund are subject to appropriation by city council and shall be made only for the furtherance of maintaining and replacing the computer software and hardware of the clerk of courts' office or as set out in ORC § 1901.261(B)(1).

§ 171.07 PROBATION FEE.

A fee, not to exceed sixty dollars ($60.00) shall be collected on each case where a person is placed on probation. A fee not to exceed thirty dollars ($30.00) shall be collected on each case where a person is placed on provided no convictions (P.N.C.) status. Such fee shall be established as cost pursuant to the rules of court and deposited into the general fund.

(Ord. No. 5-2019, § 1, 2-11-2019)

§ 171.08 MAYOR'S COURT SPECIAL PROJECT FUND.

(A) A fee, not to exceed ten dollars ($10.00), shall be collected on each case and is to be deposited into the mayor's court special project fund.

(B) ~~A fee, not to exceed two dollars and fifty cents ($2.50), shall be collected from each first-time OVI offender who is the subject of an order issued under ORC § 4510.022. This fee shall be deposited into the mayor's court special project fund. For purposes of this provision, a first-time OVI offender is a person whose driver's~~

~~license or commercial driver's license or nonresident operating privilege has been suspended for being convicted of, or pleading guilty to, an OVI offense under C.O. § 353.01.~~

(C) Expenditures from this fund are to be used to acquire and pay for special projects of the court including, but not limited to, the acquisition of additional facilities or the rehabilitation of existing facilities, the acquisition of equipment, the hiring and training of staff, community service programs, which includes purchasing of bus passes for Pilot Drug Court participants, mediation or dispute resolution services, the employment of magistrates, the training and education of magistrates, and other related services.

(D) Expenditures from said fund are subject to appropriation by city council and shall be made only for the furtherance of special projects for the operation of the court or as set out in ORC § 1901.26(B)(1).

(Ord. No. 32-2013; Ord. No. 14-2017, § 1, 2-27-2017; Ord. No. 74-2018, § 1, 10-22-2018)

EXHIBIT A FEES CHARGED IN UPPER ARLINGTON MAYOR'S COURT (Effective March 13, 2019)

UA MAYOR'S COURT COSTS $25.00

STATE OF OHIO COSTS

TRAFFIC CASES$39.00

CRIMINAL CASES$29.00

COMPUTER FUNDS $~~6.00~~ $15 (Mayor's Court Computer Fund $3, Clerk of Courts Computerization Fund $12

MAYOR'S COURT SPECIAL PROJECT FUND$10.00

CONTINUANCES$5.00

~~TRIALS$10.00~~

PRE-TRIAL/MISC. HEARING$5.00

FAIL TO APPEAR NOTICE/LATE FEE$20.00

SUBPOENA'S/PRECIPE'S$10.00

WITNESS FEES AND MILEAGE$6.00 + mileage

SUMMONS$15.00

ORDER IN OR BFOI$40.00

BAD CHECK OR RETURN PAYMENT CHARGE$30.00

REQUEST TO DROP CHARGES$30.00

CRIMINAL DIVERSION PROGRAM$100.00

ENFORCEMENT DATE OF FINE ($15.00 for any additional enforcement) $15.00

TIME PAYMENT PROGRAM$20.00

DUI EDUCATION FUND$25.00

JURY DEMAND'S FILED$15.00

APPEALS FILED$15.00

~~REQUEST CHANGE IN LIMITED DRIVING PRIVILEGES$10.00~~

PROBATION FEE$60.00

PROVIDED NO CONVICTIONS FEE$30.00

TRAFFIC DIVERSION FEE$225.00

INTERPRETING SERVICES No cost

BOND SURCHARGE$25.00

IMMOBILIZING/DISABLING DEVICE REQUIRED$5.00

O.V.I. OFFENDER FEE/SPECIAL PROJECT FEE$5.00

(Order No. 92 , 2-25-2019; Order No. 97 , 7-18-2021)

EXHIBIT D BAIL/BOND SCHEDULE
(Revised July 1, 2021)

Non-Recognizance Schedule; Residents and Non-Residents

(A) **Residents.** When the defendant is a resident of the State of Ohio and the Magistrate has ordered bail to be set pursuant to Exhibit D, the bail schedule is as follows:

Classification or Offense	Bench Warrant	Type
Misdemeanor of the first degree	$500.00	Cash or Surety
Misdemeanor of the second degree	400.00	Cash or Surety
Misdemeanor of the third degree	300.00	Cash or Surety
Misdemeanor of the fourth degree	200.00	Cash or Surety
Unclassified Misdemeanor	~~200.00~~ 150	Cash or Surety
Minor Misdemeanor	~~N/A~~ 100	License Forfeiture / Registration Block/Cash or Surety

(B) **Non-Residents.** When the defendant is not a resident of the State of Ohio and the Magistrate has ordered bail to be set pursuant to Exhibit D, the bail schedule is as follows:

Classification or Offense	Bench Warrant	Type
Misdemeanor of the First Degree	$2,000.00	Cash or Surety
Misdemeanor of the Second Degree	1,500.00	Cash or Surety
Misdemeanor of the Third Degree	1,000.00	Cash or Surety
Misdemeanor of the Fourth degree	500.00	Cash or Surety
Unclassified Misdemeanor	200.00	Cash or Surety
Minor Misdemeanor	~~N/A~~ 200	License Forfeiture (NRVC)/cash or surety

D.01

If the non-resident defendant can establish to the satisfaction of the Clerk of Courts that the defendant is a student or gainfully employed in this State, the defendant shall be released on the same bail as residents of this State.

D.02

When bail is required to be set pursuant to Exhibit D, bail will be required for only one of two charges of OVI if both charges arise out of the same incident.

D.03

When bail is required to be set pursuant to Exhibit D, and when a defendant is charged with two or more charges, in any combination, under City of Upper Arlington Ordinance(s) 355.01, 355.05, 355.06, 355.13, 355.14, 355.15, 355.16, 355.18, 355.20 or under any same or similar ordinance, bail will be required for only one [of] the charges. When this schedule applies, bail shall be set in the amount required for the highest-level offense charged.

(Order No. 97 , 7-18-2021)

Authors: Suzanne Beach, Executive Assistant

Council Meeting Date: January 27, 2025

Subject/Legislative Item: Transfer of LLC membership interest for Store Ops 2140 LLC & Patio, dba Littleton's Market *(no action needed from Council unless there is an objection)*

Purpose: The City Clerk has received notice from the Ohio Department of Liquor Control that an application has been filed for a transfer of LLC Membership Interest for Store Opps 2140 dba Littleton's Market to add Alan Scantland to the LLC of Store Opps 2140, (dba Littleton's Market), 2140 Tremont Center, for the existing D1, D2, D3, D3A and D6 Liquor Permits.

Executive Summary: The City Clerk has received notice from the Ohio Department of Liquor Control that an application has been filed for transfer of LLC Membership Interst for Store Opps 2140, dba Litteton's Market to add Alan Scantland to Store Opps 2140, dba as Littleston's Market, 2140 Tremont Center. Nothing is changing in relation to the liquor permits except for LLC Membership.

Purpose and Impact

Store Opps 2140 dba as Littleton's Maket is transferring LLC Membership Interest from Robert Littleton to Alan Scantland. This does not affect existing Liquor permits:

D1 -Beer only for on premises consumption or in original sealed containers for carry out only until 1:00am.
D2 - Wine and mixed beverages for on premises consumption or in original sealed containers for carryout only until 1:00am.
D3 - Spirituous liquor for on premises consumption only until 1:00am.
D3A -Extends issued permit privileges until 2:30am. Must at least have D-3 to get the 3A.
D6 - Sale of intoxicating liquor on Sunday for the same sales privileges (barring any local option elections that might impact privileges) the permit holder has Monday through Saturday. NOTE: Not required if just selling beer, however, local option election laws may still restrict beer sales on Sundays.

The number of liquor permits in the City of Upper Arlington does not change with this request.

History

The Ohio Department of Commerce, Division of Liquor Control, submits legislative notice of liquor permit applications. Within 30 days from the date listed on the notice, the City must provide a response that indicates whether the City objects and requests a hearing on the application, requests a one-time only 30-day extension for review, or that the City has no objection to the application.

Alternatives

Attachments

1.	Littleton's Change of LLC

NOTICE TO LEGISLATIVE AUTHORITY

OHIO DIVISION OF LIQUOR CONTROL
6606 TUSSING ROAD, P.O. BOX 4005
REYNOLDSBURG, OHIO 43068-9005
(614)644-2360 FAX(614)644-3166

TO

8621154 PERMIT NUMBER	STCK TYPE
ISSUE DATE	
01 04 2024 FILING DATE	
D1 D2 D3 D3A D6 PERMIT CLASSES	
25 242 B F32668 TAX DISTRICT / RECEIPT NO.	

STORE OPS 2140 LLC
& PATIO
2140 TREMONT CTR RD
UPPER ARLINGTON OH 43221

FROM 01/02/2025

PERMIT NUMBER	TYPE
ISSUE DATE	
FILING DATE	
PERMIT CLASSES	
TAX DISTRICT / RECEIPT NO.	

MAILED 01/02/2025 RESPONSES MUST BE POSTMARKED NO LATER THAN. 02/03/2025

IMPORTANT NOTICE

PLEASE COMPLETE AND RETURN THIS FORM TO THE DIVISION OF LIQUOR CONTROL <u>WHETHER OR NOT</u> THERE IS A REQUEST FOR A HEARING.
REFER TO THIS NUMBER IN ALL INQUIRIES B STCK 8621154
(TRANSACTION & NUMBER)

(<u>MUST MARK ONE</u> OF THE FOLLOWING)

WE REQUEST A HEARING ON THE ADVISABILITY OF ISSUING THE PERMIT AND REQUEST THAT THE HEARING BE HELD ☐ IN OUR COUNTY SEAT. ☐ IN COLUMBUS.

WE DO NOT REQUEST A HEARING. ☐
DID YOU MARK A BOX? IF NOT, THIS WILL BE CONSIDERED A LATE RESPONSE.

PLEASE SIGN BELOW AND MARK THE APPROPRIATE BOX INDICATING YOUR TITLE:

(Signature) (Title)- ☐ Clerk of County Commissioner (Date)
☐ Clerk of City Council
☐ Township Fiscal Officer

CLERK OF UPPER ARLINGTON CITY COUNCIL
3600 TREMONT RD
UPPER ARLINGTON OHIO 43221-1595

DLC 4052 REV. 03/09

1 ck/# 3850/ $100

Office Hours
8:00 a.m. - 5:00 p.m.
For Questions call
(614) 644-3156

Ohio Department of Commerce - Division of Liquor Control
6606 Tussing Road, Reynoldsburg, Ohio 43068-9005
http://www.com.ohio.gov/liqr

OHIO DIV. LIQUOR CONTROL
FRONT DESK 1
2024 OCT 31 PM 12:19

APPLICATION FOR CHANGE OF LLC MEMBERSHIP INTERESTS

PROCESSING FEE $100.00

CAUTION: ALLOW 10 TO 12 WEEKS FOR PROCESSING

PERMIT HOLDER REQUESTS APPROVAL OF THE DIVISION OF LIQUOR CONTROL OF THE FOLLOWING:

Permit Holder Name: Store ops 2140 LLC	Permit Premises Address: 2140 Tremont Center rd.
Liquor Permit Number(s): 8621154	Federal Tax ID Number: [redacted]

Email Address: allanscantland@littletonsmarket.com

Attorney's Name, Address and Telephone Number (If represented):
Edward Hastie, 1299 Virginia Ave., Columbus, Ohio 43212, 6144802800

Please be advised that any social security numbers provided to the Division of Liquor Control in this application may be released to the Ohio Department of Public Safety, the Ohio Department of Taxation, the Ohio Attorney General, or to any other state or local law enforcement agency if the agency requests the social security number to conduct an investigation, implement an enforcement action, or collect taxes.

PLEASE COMPLETE ALL AREAS OF SECTION A & B BELOW

Section A - PREVIOUS List of managing members and all persons with a 5% or greater membership or voting interest in the LLC

NAME	SOCIAL SECURITY # OR FEDERAL TAX ID #	OFFICE HELD	INTEREST	BIRTHDATE
1) Robert Littleton	[redacted]	CEO	☒ Managing Member ☒ Voting interest 100 % ☒ Membership interest 100 %	[redacted]
2)			☐ Managing Member ☐ Voting interest ___ % ☐ Membership interest ___ %	
3)			☐ Managing Member ☐ Voting interest ___ % ☐ Membership interest ___ %	
4)			☐ Managing Member ☐ Voting interest ___ % ☐ Membership interest ___ %	

Section B - REVISED List of managing members and all persons with a 5% or greater membership or voting interest in the LLC

NAME	SOCIAL SECURITY # OR FEDERAL TAX ID #	OFFICE HELD	INTEREST	BIRTHDATE
1) Alan Scantland	[redacted]		☒ Managing Member ☒ Voting interest 100 % ☒ Membership interest 100 %	[redacted]
2)			☐ Managing Member ☐ Voting interest ___ % ☐ Membership interest ___ %	
3)			☐ Managing Member ☐ Voting interest ___ % ☐ Membership interest ___ %	
4)			☐ Managing Member ☐ Voting interest ___ % ☐ Membership interest ___ %	

Authors:	Suzanne Beach, Executive Assistant
Council Meeting Date:	January 27, 2025
Subject/Legislative Item:	Transfer of existing liquor permits from Michael R. Purdam, dba Old Bag of Nails Pub to Chefo Arlington LLC, dba Chef O Nette *(no action needed from Council unless there is an objection)*
Purpose:	The City Clerk has received notice from the Ohio Department of Liquor Control that an application has been filed for a transfer of existing D5 and D6 liquor permits from Michael R Purdam DBA Old Bag of Nails Pub to Chefo Arlington LLC DBA Ched O Nette 2090 Tremont Center.
Executive Summary:	The City Clerk has received notice from the Ohio Department of Liquor Control that an application has been filed for transfer of existing liquor permits from Michael R. Purdam DBA Old Bag of Nails Pub to Chefo Arlington LLC DBA Chef O Nette 2090 Tremnt Center. Nothing is changing in relation to the liquor permits.

Purpose and Impact

Michael R Purdum, DBA Old Bag of Nails Pub is transferring existing D5 and D6 liquor permits, to Chefo Arlington LLC DBA Chef O Nette.

D5 - Spirituous liquor for on premises consumtion only, beer, wine and mixed beverages for on premises, or off in original sealed containers, until 2:30am.
D6 - Sale of intoxicating liquor on Sunday for the same sales privileges (barring any local option elections that might impact privileges) the permit holder has Monday through Saturday. Note: Not required if just selling beer, however, local option election laws may still restric beer sales on Sundays.

The Trex transfer was approved at the August 19,2024 meeting. This is the second part of the liquor permit transfers.

History

The Ohio Department of Commerce, Division of Liquor Control, submits legislative notice of liquor permit applications. Within 30 days from the date listed on the notice, the City must provide a response that indicates whether the City objects and requests a hearing on the application, requests a one-time only 30-day extension for review, or that the City has no objection to the application.

Alternatives

Attachments

1.	Chef O Nette safekeeping

NOTICE TO LEGISLATIVE AUTHORITY

OHIO DIVISION OF LIQUOR CONTROL
6606 TUSSING ROAD, P.O. BOX 4005
REYNOLDSBURG, OHIO 43068-9005
(614)644-2360 FAX(614)644-3166

TO

1376564 PERMIT NUMBER	TREX TYPE	CHEFO ARLINGTON LLC DBA CHEF O NETTE 2090 TREMONT CENTER UPPER ARLINGTON OH 43221
02 01 2021 ISSUE DATE		
10 18 2024 FILING DATE		
D5 PERMIT CLASSES		
25 242 TAX DISTRICT B	F32553 RECEIPT NO.	

FROM 12/11/2024 SAFEKEEPING

71155910015 PERMIT NUMBER	TYPE	MICHAEL R PURDUM DBA OLD BAG OF NAILS PUB 1ST & 2ND FLS & DECKS 57 & 63 MILL ST GAHANNA OHIO 43230
02 01 2021 ISSUE DATE		
10 18 2024 FILING DATE		
D5 D6 PERMIT CLASSES		
25 077 TAX DISTRICT	RECEIPT NO.	

MAILED 12/11/2024 RESPONSES MUST BE POSTMARKED NO LATER THAN. 01/13/2025

IMPORTANT NOTICE

PLEASE COMPLETE AND RETURN THIS FORM TO THE DIVISION OF LIQUOR CONTROL WHETHER OR NOT THERE IS A REQUEST FOR A HEARING.

REFER TO THIS NUMBER IN ALL INQUIRIES B TREX 1376564
(TRANSACTION & NUMBER)

(MUST MARK ONE OF THE FOLLOWING)

WE REQUEST A HEARING ON THE ADVISABILITY OF ISSUING THE PERMIT AND REQUEST THAT THE HEARING BE HELD ☐ IN OUR COUNTY SEAT. ☐ IN COLUMBUS.

WE DO NOT REQUEST A HEARING. ☐

DID YOU MARK A BOX? IF NOT, THIS WILL BE CONSIDERED A LATE RESPONSE.

PLEASE SIGN BELOW AND MARK THE APPROPRIATE BOX INDICATING YOUR TITLE:

(Signature) (Title)- ☐ Clerk of County Commissioner (Date)

☐ Clerk of City Council

☐ Township Fiscal Officer

CLERK OF UPPER ARLINGTON CITY COUNCIL
3600 TREMONT RD
UPPER ARLINGTON OHIO 43221-1595

DLC 4052 REV. 03/09

Department of Commerce
Division of Liquor Control

com.ohio.gov

Mike DeWine, *Governor* Jon Husted, *Lt. Governor* Sherry Maxfield, *Director*

Dear Local Legislative Authority Official:

Please find enclosed the legislative notice that is being sent to you regarding the applied for liquor permit as captioned on the notice. You **must**, within 30 days from the "mailed" date listed on the notice under the bar code:

- Notify the Division whether you object and want a hearing; or
- Ask for your one-time only, 30-day extension.
  - Any requests for a one-time, 30-day extension will be reviewed by the Division upon timely receipt. If granted, your additional 30-days runs from the expiration of the original 30-day period.

To be considered **timely**, your above response **MUST** be faxed, emailed, or mailed to the Division no later than the postmark deadline date stated on the form. To speed up processing times and reduce paper, the Division respectfully asks that you either fax or email your response. Please send your response to:

FAX: (614) 644 – 3166

EMAIL: Liquordocs@com.ohio.gov

MAIL: Ohio Division of Liquor Control
Attn: Licensing Unit
6606 Tussing Road
PO Box 4005
Reynoldsburg, Ohio 43068-9005

To find out who has disclosed an ownership interest in the permit application to us you can:

- Visit com.ohio.gov/liquorinfo. Select the "Search who has disclosed an ownership interest" tab. Where asked, enter the permit number listed on the legislative notice; or

- Contact your police department or county sheriff (if you are a township fiscal officer or county clerk). We also sent them detailed ownership information to review for any criminal background issues involving the disclosed persons.

We have resources for you at com.ohio.gov/govhelp. Never miss out on when renewal objections are due! Sign-up for our emails at com.ohio.gov/stayinformed.

Thank you in advance for your cooperation,

Division Licensing Section

(rev. 12/29/2023)